

**STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

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Springfield, Illinois 62704-4503
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph St., Ste. 14-100
Chicago, Illinois 60601-3232
312/814-6440
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EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

**AGENDA
STATE BOARD OF ELECTIONS
BOARD MEETING
Tuesday, December 16, 2014
10:30 a.m.**

James R. Thompson Center – Room 14-600
Chicago, Illinois
and via videoconference
2329 S. MacArthur Blvd.
Springfield, Illinois

Roll call.

1. Approval of the minutes from the November 17 and 30 meetings. (pgs.1-7)
2. Presentation of resolution for retiring staff member Rupert Borgsmiller.
3. Presentation of staff service award - Frankie Desmangles – 15 year award.
4. Report of the General Counsel;
 - a. Campaign Disclosure;
 - Request for settlement offer
 - 1) *SBE v. Citizens for Tyler Smith, S9884 & 21819, 09CE077, 10JS236, 12JQ079 & 12DQ139; (pgs.8-13)*
 - Appeals of campaign disclosure fines – hearing officer recommendation appeal be denied
 - 2) *SBE v. Boone County Republican Central Committee, 749, 14AJ010; (pgs.14-17)*
 - Complaints following public hearing
 - 3) *Brown-Marino v. Friends of Sherman C. Jones, 14CD113; (pgs.18-31)*
 - Other campaign disclosure items
 - 4) Random audits of political committees; (pgs.32-38)
 - 5) Procedures for accepting settlement offers; (pg.39)
 - 6) Payment of civil penalties – informational; (pgs.40-41)
 - Complaints following closed preliminary hearing – hearing officer recommendation complaints be upheld and proceed to a public hearing (separate packet)
 - 7) *SBE v. Citizens to Elect Eric J. Kellogg, 14CD125; (pgs.1-2)*
 - 8) *SBE v. Votedebby.com, 14CD136; (pgs.3-4)*
 - 9) *SBE v. Re-Elect Eric Leys, 14CD145; (pgs.5-6)*
 - 10) *SBE v. Jackson for Committeeman Ind. Democratic Political Org., 14CD149; (pgs.7-8)*
 - 11) *SBE v. Friends for Jeremy J. Ly, 14CD153; (pgs.9-10)*

Complaints following closed preliminary hearing – hearing officer recommendation complaints be upheld but no further action beyond the imposition of a civil penalty
12) *SBE v. Democrats United for a Better Downers Grove Township Government*,
14CD144. (pgs.11-12)

5. Report of the Executive Director;
 - a. Legislative update; (pgs.42-50)
 - b. Report on COGEL conference; (pg.51)
 - c. SBE procurement procedures update; (pg.52)
 - d. Consideration of FY16 appropriation request; (separate cover)
 - e. Fiscal status reports – informational;
 - 1) FY15 – month ending November 30; (pgs.53-60)
 - 2) FY15 – Help Illinois Vote Fund; (pgs.61-66)
 - f. Two year plan of staff activity for the months of December & January – informational. (pgs.67-72)
6. Follow up;
 - a. Proposed Amendment to Personnel Rules – Timekeeping. (oral report)
7. Comments from the general public. (pg.73)
8. Next regular Board meeting at 10:30 a.m. on Tuesday, January 20, 2015, in Springfield. (pg.73)
9. Executive session. (pgs.74-80)

STATE BOARD OF ELECTIONS
Regular Meeting
Monday, November 17, 2014

MINUTES

PRESENT:

Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers, Member
Betty J. Coffrin, Member
Ernest L. Gowen, Member
William M. McGuffage, Member
Bryan A. Schneider, Member
Casandra B. Watson, Member

ALSO PRESENT:

Rupert Borgsmiller, Executive Director
James Tenuto, Assistant Executive Director
Steve Sandvoss, General Counsel
Amy Calvin, Administrative Assistant II

The meeting convened at 10:30 a.m. via videoconference with the Chicago office. Six Members were present in Springfield and Member Watson was present in Chicago. Member Coffrin was present via telephone.

The Chairman opened the meeting by leading everyone in the pledge of allegiance.

Vice Chairman Scholz moved to approve the minutes from the October 21 meeting as presented. Member Byers seconded the motion which passed by roll call vote of 8- 0.

The Executive Director presented resolutions to staff members Carole Skaggs and Jane Gasperin who are retiring at the end of the year. He called Ms. Skaggs and Ms. Gasperin individually and recited the resolution to each of them. A 25 year staff service award was also presented to Ms. Gasperin. The Executive Director thanked them for their dedication and service and wished them well in their retirement.

The Executive Director presented staff service awards to the following employees: 1) Tom Newman – 15 year award; 2) Becky Glazier – 30 year award; and 3) Kay Walker – 40 year award. He also thanked them for their service and commitment to the SBE.

The General Counsel presented a request for settlement offer for Agenda item 4.a.1, *SBE v. Citizens for Cynthia Santos*, 12438, 14AM022 and recommended it be granted because the amount offered was 50% of the total assessment. Member Byers moved to accept the settlement offer. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8- 0.

The General Counsel presented a request for settlement offer for Agenda item 4.a.2, *SBE v. Friends of Paul Rosenfield*, 23820, 14MQ107 & 13MA092 and recommended the request be denied as insufficient. He further recommended the committee dissolve and remain dissolved for a period of two years and the penalty will be abated as long it does not form a successor committee during that time frame. Paul Rosenfield was present on behalf of the committee and said he donated his personal funds to the committee and repaid himself 50% after the vendors were paid. He also said he understood the recommendation of the General Counsel. After discussion, Member McGuffage moved to order the committee to pay \$6,275 to the SBE, repay Mr. Rosenfield the remainder of the

funds, and dissolve the committee for a period of two years after which the remainder of the fine will be abated. Member Watson seconded the motion which passed by roll call vote of 8– 0.

The General Counsel presented the following appeals where he concurred with the hearing officer recommendation to grant the appeals for Agenda items 4.a.37:

- 3) *SBE v. St. Charles Education Association– IPACE*, 17812, 14MQ060;
- 4) *SBE v. Country Oaks Committee to Elect Robert G. Abboud*, 19066, 14MQ065;
- 5) *SBE v. Friends of Blake Sercye*, 25464, 14AM052;
- 6) *SBE v. Dillard for Governor 2014*, 25474, 14AM053;
- 7) *SBE v. Citizens for Tim Bradford*, 25581, 14MQ162.

Vice Chairman Scholz moved to grant the above noted appeals. Member McGuffage seconded the motion which passed by roll call vote of 8- 0.

Due to a numbering error, there was nothing listed for Agenda item 4.a.8. Agenda item 4.a.12, *SBE v. Committee to Elect Molt for Judge*, 25872, 14MA023 was pulled and will be placed on a future Agenda.

The General Counsel presented the following appeals where he concurred with the hearing officer recommendation to deny the appeals for Agenda items 4.a.9-11:

- 9) *SBE v. Citizens for Linda S. Frank*, 4156, 14DQ014;
- 10) *SBE v. Friends of Linda Fulgenzi*, 21027, 14MQ079;
- 11) *SBE v. Cushing for Judge*, 25564, 14MA018.

Member Byers moved to deny the above noted appeals. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8– 0.

The General Counsel presented the following appeal of contribution limit fines where he concurred with the hearing officer recommendation to grant the appeal for Agenda item 4.a.13, *SBE v. Friends of George A. Cardenas*, 17290, 14MACL001. Vice Chairman Scholz moved to grant the appeal. Member Byers seconded the motion which passed by roll call vote of 8– 0.

The General Counsel presented several cases where the committees failed to comply with a board order for Agenda items 4.a.14-31 and recommended the following committees be assessed a \$5,000 civil penalty for failure to file required reports:

- 14) *SBE v. Jackson for Committeeman Ind. Political Org.*, 22751, 13CD178;
- 15) *SBE v. Citizens for Leys*, 15682, 14CD022;
- 16) *SBE v. Citizens for Colvin*, 16242, 14CD024;
- 17) *SBE v. votedebby.com*, 19006, 14CD032;
- 18) *SBE v. Citizens to Elect Alvin Parks, Jr.*, 19743, 14CD033; (pg.93)
- 19) *SBE v. Re-Elect Eric Leys*, 22022, 14CD042;
- 20) *SBE v. Jackson for Committeeman Ind. Democratic Political Org.*, 22751, 14CD046;
- 21) *SBE v. Illinois Black Business PAC*, 22756, 14CD047;
- 22) *SBE v. Friends for Jeremy J. Ly*, 23466, 14CD051;
- 23) *SBE v. Tammy Baer for Douglas County State's Attorney*, 24201, 14CD059;
- 24) *SBE v. Citizens for Fairness– Jeff Davis*, 24378, 14CD064;
- 25) *SBE v. Citizens for Rudd*, 24465, 14CD068;
- 26) *SBE v. Citizens to Elect Anthony Coleman for Mayor of North Chicago*, 24540, 14CD072;

- 27) *SBE v. Friends for Mary C. Childers*, 25317, 14CD095;
- 28) *SBE v. Citizens for a Better Fox Valley*, 25329, 14CD099;
- 29) *SBE v. Will Kyles Committee*, 25362, 14CD100;
- 30) *SBE v. Fans of Harness Racing*, 25588, 14CD105;
- 31) *SBE v. Braiman for Judge*, 24684, 14CD075.

Vice Chairman Scholz moved to accept the recommendation of the General Counsel in the above noted matters. Member McGuffage seconded the motion which passed by roll call vote of 8– 0.

The General Counsel presented proposed procedures for accepting settlement offers and reviewed Tom Newman's memo on page 107 of the board packet. Basically, settlement offers should be accepted for those deserving committees for whom the fine represents an undue hardship or lack the funds to pay the fine. Settlement offers should, in most cases, not be accepted if the offered amount is less than 50% of the total fine or if the total penalty is \$1,000 or less. Furthermore, a settlement offer should only be considered if the ratio of the fine to the committee's fund balance listed on their last four quarterly reports is greater than 50%. After discussion it was decided a final proposal including comments and suggestions made by the Board today will be presented at the December board meeting.

A listing of civil penalty payments was presented for informational purposes.

Member Schneider moved to recess to executive session to consider complaints following closed hearing and personnel matters. Member Gowen seconded the motion which passed by roll call vote of 8 – 0. The meeting recessed at 11:20 a.m. and reconvened at 12:25 p.m. with the same attendance as noted in the initial roll call

As to Agenda item 4.a.34, *Smiddy v. Wozniak for Illinois*, 14CD194, Member Schneider moved to find that the complaint was not filed on justifiable grounds and that it be dismissed. Member Byers seconded the motion which passed by roll call vote of 8– 0.

As to Agenda item 4.a.35, *Illinois for Karmeier v. Campaign for 2016, Franklin County Democratic Organization & Williamson County Democratic Central Committee*, 14CD195, Member Schneider moved to remand the matter back to the hearing officer to direct the respondents to provide additional evidence supporting their claim that no contribution was made to or received by the committees as alleged by the complaint. Failure to provide such documentation will result in a public hearing. Member Byers seconded the motion which passed by roll call vote of 8– 0.

As to Agenda items 4.a.36-50, Member Schneider moved to find that the following complaints were filed on justifiable grounds and the matters proceed to a public hearing:

- 36) *SBE v. Citizens to Elect Alvin Parks, Jr.*, 14CD137;
- 37) *SBE v. Tammy Baer for Douglas County State's Attorney*, 14CD155;
- 38) *SBE v. Citizens for Rudd*, 14CD161;
- 39) *SBE v. Friends of David Moore*, 14CD162;
- 40) *SBE v. Citizens to Elect Anthony Coleman for Mayor of North Chicago*, 14CD163;
- 41) *SBE v. Braiman for Judge*, 14CD165;
- 42) *SBE v. Friends of Christina Campos*, 14CD170;
- 43) *SBE v. Citizens to Elect 169 Write-Ins*, 14CD173;
- 44) *SBE v. Hispanic American Community Leadership Network*, 14CD175;
- 45) *SBE v. Friends for Mary C. Childers*, 14CD176;
- 46) *SBE v. Will Kyles Committee*, 14CD178;
- 47) *SBE v. Supporters of Joe Cook*, 14CD179;

- 48) *SBE v. Citizens to Elect Frazier Garner*, 14CD183;
- 49) *SBE v. Fans of Harness Racing*, 14CD185;
- 50) *SBE v. The Committee to Elect Robert R. McKay*, 14CD189.

Member Gowen seconded the motion which passed by roll call vote of 8– 0.

As to Agenda items 4.a.51-61, Member Schneider moved to find that the following complaints were filed on justifiable grounds but no further action beyond the imposition of a civil penalty:

- 51) *SBE v. ICA PAC*, 14CD121;
- 52) *SBE v. Cumberland County Democratic Central Committee*, 14CD124;
- 53) *SBE v. Friends of Scott Saunders*, 14CD130;
- 54) *SBE v. Committee to Elect Rex Bradfield*, 14CD138;
- 55) *SBE v. Citizens to Elect Bridgett Provenzano*, 14CD160;
- 56) *SBE v. Save 7 Eleven: Vote No on Local Opinion Question Ward 36 Precinct 33*, 14CD164;
- 57) *SBE v. Marc Landers*, 14CD171;
- 58) *SBE v. Friends of Jim Murphy for Judge*, 14CD184;
- 59) *SBE v. Citizens for Lukowski*, 14CD187;
- 60) *SBE v. Citizens to Elect Tonya H. Hunter*, 14CD188;
- 61) *SBE v. "Yes" for EMS*, 14CD192.

Member Gowen seconded the motion which passed by roll call vote of 8 – 0.

Member Schneider moved to appoint Brent Davis to the Director of Election Information position effective January 1, 2015 at an annual salary of \$84,000. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8 – 0.

The Executive Director began his report with an update on the November 4, 2014 General Election. He said no major issues were reported on election day and staff responded to general inquiries that are typically presented on election day. He discussed the late precinct returns reporting and said survey results showed that only the City of Chicago had returns arrive after 2:00 a.m. Kyle Thomas noted that most were due to human error with uploading the results or a mechanical error with the upload. The Executive Director commented that out of over 10,000 precincts in Illinois, only 23 of them were late in returning to the election authority. He felt this was very impressive and congratulated the election authorities for their hard work. Mr. Thomas reviewed his voting systems pre-election testing memo on pages 109-110 of the board packet and said ten election jurisdictions were selected for testing. He commended his staff for a good job in planning and coordination of the testing. A final election judge training schools listing was presented for informational purposes and Eric Donnewald said that one school is already scheduled for the 2015 election. He also reported that staff will be conducting a seminar in January for the newly elected and current county clerks.

Cris Cray reported that vetosession begins tomorrow through Thursday and cancelled on Friday. They will return the first week of December for two or three days as well. She also said there was one election bill posted for committee tomorrow.

The General Counsel presented his proposal regarding accrual of time for the Executive Director and Assistant Executive Director. Under the present SBE Personnel Rules, the Executive Director and Assistant Executive Director do not accrue any benefit time (vacation, sick and personal days) and do not have to account for time taken off while in those positions. Mr. Sandvoss

proposed an authorization to file an amendment to the Personnel Rules which would make the present non-accrual provision an option at the choice of either of the two Directors. Mr. Sandvoss said that it would be a beneficial choice for him and Jim Tenuto said that he would be happy with either option. After discussion, it was agreed to check the timekeeping policies of similar positions within other agencies and continue the matter to the December board meeting.

Mr. Thomas discussed the Paperless Online Voter Application (POVA) system and said the system was turned off during the close of registration and 1,765 applications have been received since the system was activated again at 12:00 a.m. on November 6. Kevin Turner reported that web services technology was implemented during the close of registration. He explained that the technology allows for immediate sending, matching and response with the Secretary of State's office and that web services is functioning as expected in the system.

The required six month of review of the executive session minutes was presented and the General Counsel recommended the necessity of confidentiality does not exist as to the release of such minutes for the period of April 18, 2014 through September 15, 2014. Vice Chairman Scholz moved to accept the recommendation of the General Counsel and remove the need for confidentiality from the minutes noted above. Member Byers seconded the motion which passed by roll call vote of 8 – 0.

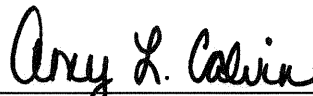
The Executive Director presented the FY15 fiscal status reports and said expenses are being closely monitored and on target at this point in the budget year. Discussion ensued regarding the possibility of across the board cuts and their effect on the agency. He indicated that the FY16 budget appropriate request would be presented at the December board meeting.

The two year plan of staff activity was presented for informational purposes.

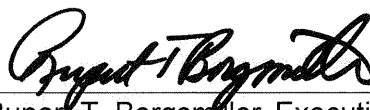
The Chairman asked the General Counsel to report on the pending HAVA complaints filed by Sharon Meroni. Mr. Sandvoss indicated that he has not received any communication from Ms. Meroni regarding the status of the complaints or the alternative dispute resolution. According to the Rules, he intends to pursue the selection of an alternative dispute provider or, in the very least, inquire as to what options are available if and when that becomes necessary.

With there being no further business before the Board, Vice Chairman Scholz moved to adjourn until Sunday, November 30, 2014 at 5:00 p.m. in Springfield and Chicago via videoconference. Member Byers seconded the motion which passed unanimously. The meeting adjourned at 1:40 p.m.

Respectfully submitted,



Amy Calvin, Administrative Assistant II



Rupert T. Borgsmiller, Executive Director

STATE BOARD OF ELECTIONS
Special Meeting
Sunday, November 30, 2014

MINUTES

PRESENT:

Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers, Member
Betty J. Coffrin, Member
Ernest L. Gowen, Member
William M. McGuffage, Member
Bryan A. Schneider, Member
Casandra B. Watson, Member

ALSO PRESENT:

Rupert Borgsmiller, Executive Director
James Tenuto, Assistant Executive Director
Steve Sandvoss, General Counsel
Amy Calvin, Administrative Assistant II

The special meeting convened at 5:00 p.m. with seven Members present. Chairman Smart, Vice Chairman Scholz and member Byers were present in Springfield and Members Gowen, Schneider and Watson were present in Chicago. Member Coffrin was present via telephone and Member McGuffage arrived in Chicago at 5:20 p.m.

The Chairman opened the meeting by leading everyone in the pledge of allegiance.

The Chairman indicated that they would wait until Member McGuffage's arrival before certifying the results of the general election and asked staff for a brief update on the canvass.

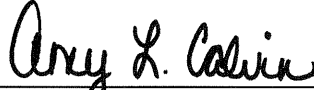
Jane Gasperin reported that all of the canvasses were received by the deadline of November 25 and 96 of them had been proofed by the end of that day. Staff finished proofing late morning on Friday and printed the canvass that afternoon. The Chairman thanked the staff members who worked extra hours over the Thanksgiving holiday. Kevin Turner noted that the precinct level results would be available on the SBE website well before the statutory December 26 deadline.

Cris Cray noted that Senate Bill 172 was received on Wednesday and three more sections were received today. She said some items include the ERIC program, a provision to change absentee voting to vote by mail, removal of the seven day calendar for campaign disclosure complaints and a requirement that staff develop two phone applications for the top two provider platforms.

After Member McGuffage's arrival the Executive Director presented the canvass from the November 4, 2014 General Election. He indicated that voter turnout was 49.18% and was fairly consistent with past elections where the constitutional officers were on the ballot. Vice Chairman Scholz moved to proclaim the results of the November 4, 2014 General Election. Member Byers seconded the motion which passed by roll call vote of 8 – 0. It was noted that the interactive vote totals would be available on the SBE website within an hour.

With there being no further business before the Board, Vice Chairman Scholz moved to adjourn until Tuesday, December 16, 2014 at 10:30 a.m. Member Byers seconded the motion which passed by roll call vote of 8-0. The meeting adjourned at 5:25 p.m.

Respectfully submitted,



Amy Calvin, Administrative Assistant II



Rupert T. Borgsmiller, Executive Director



RENO & ZAHM LLP

ATTORNEYS AND COUNSELORS

IAN K. LINNABARY
IKL@RENOZAHM.COM

November 6, 2014

STATE BOARD OF ELECTIONS

14 NOV 12 PM 12:24

- 09CE077
- 1095236
- 12DQ139
- 129Q079

Ms. Laura Marbold
Campaign Disclosure
State Board of Elections
2329 S. MacArthur Blvd.
Springfield, IL 62704

Re: Citizens for Tyler Smith; Committee ID No. 21819

Dear Ms. Marbold:

I represent the above-referenced committee. Four Final Orders have been entered against the committee assessing fines totaling \$5,920. This correspondence comes to you as an offer of compromise in satisfaction of the foregoing fines.

Tyler Smith was elected in 2009 to serve as a Commissioner of the Rockford Park District. The above-referenced committee was organized for the sole purpose of supporting his candidacy for Park District Commissioner. As you might suspect, park district commissioner elections in Winnebago County are generally low budget affairs. In customary fashion, Mr. Smith spent very little in connection with his election activities. In fact, the total fines levied against his committee appear to be in excess of the funds raised and spent by the committee over its lifetime.

Unfortunately, Mr. Smith missed various filing deadlines resulting in multiple fines being assessed against his committee. We recognize the strict liability for a candidate's failure to meet the statutory filing deadlines, and therefore, we do not intend to waste the Board's time making excuses for the missed deadlines. Instead, we ask that the Board consider reducing the fines to such an amount they must be realistically paid off before the December 19, 2014, valid certification deadline.

I am familiar with the Board's protocol in resolving fines of this nature, and thus, I am aware that the Board's policy and practice precludes reduction of fines below 50% of the assessed level. Therefore, we respectfully request the Board consider reducing Mr. Smith's fine to \$2,960.

Ms. Laura Marbold

November 6, 2014

Page 2

Thank you in advance for your time and respectful consideration of our request. If you have any questions or concerns regarding the foregoing, please feel free to contact me directly.

Sincerely,

Ian K. Linnabary

IKL:sae

cc: Mr. Tyler Smith

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STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
Vs.)
) 09 CE 077
Citizens for Tyler Smith)
 Respondent(s).)

FINAL ORDER

TO: Citizens for Tyler Smith S9884
 6701 N Main St
 Rockford, IL 61103

This matter coming to be heard this 18th day of August, 2009, as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

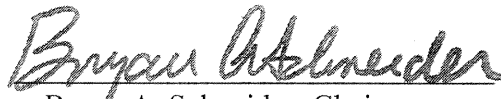
THE BOARD FINDS

1. In case number 09 CE 077, a \$500.00 civil penalty was assessed against the Respondent for the delinquent filing of the April 2009 Pre-election report; and
2. An appeal of the civil penalty was not submitted by the committee.

IT IS ORDERED:

1. A civil penalty in the amount of \$500.00 is imposed and is stayed as a first violation; and
2. The effective date of this Order is August 19, 2009, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/19/2009


Bryan A. Schneider, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 10 JS 236
)
Citizens for Tyler Smith)
 Respondent(s).)

FINAL ORDER

TO: Citizens for Tyler Smith ID# 21819
 6701 N Main St
 Rockford, IL 61103

This matter coming to be heard this 16th day of August, 2011 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

THE BOARD FINDS

1. In case number 10 JS 236, a \$4625.00 civil penalty was assessed against the Respondent for the delinquent filing of the June 2010 Semi-annual report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$500.00 for the delinquent filing of the April 2009 Pre-election report (09 CE 077) and a penalty of \$3079.08 for failing to file Schedule A-1's in conjunction with the April 2009 election (09 AE 042). These assessment were not appealed, the \$3079.08 assessment was reduced to \$308.00 by the Board, and was paid in full. The \$500.00 assessment remains unpaid and is past due.

IT IS ORDERED:

1. A civil penalty in the amount of \$4625.00 is imposed and is now due and owing within 30 days of the effective date of this Order. The previously assessed penalty of \$500.00 remains unpaid and is past due; and
2. The effective date of this Order is August 17, 2011, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/17/2011


William M. McGuffage, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 12 JQ 079
)
Citizens for Tyler Smith)
 Respondent(s).)

FINAL ORDER

TO: Citizens for Tyler Smith ID# 21819
 6701 N Main St
 Rockford, IL 61103

This matter coming to be heard this 19th day of November, 2012 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

THE BOARD FINDS

1. In case number 12 JQ 079, a \$250.00 civil penalty was assessed against the Respondent for the delinquent filing of the June 2012 Quarterly Report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$500.00 for the delinquent filing of the April 2009 Pre-election report (09 CE 077), a penalty of \$3079.00 for failing to file Schedule A-1's in conjunction with the April 2009 election (09 AE 042), a penalty of \$4625.00 for the delinquent filing of the June 2010 Semi-annual report (10 JS 236) and a penalty of \$550.00 for the delinquent filing of the December 2011 Quarterly report (12 DQ 139). These assessments were not appealed; the \$3079.00 Schedule A-1 assessment was reduced to \$308.00 by the Board, and has been paid by the committee. The remaining assessments totaling \$5675.00 remain unpaid.

IT IS ORDERED:

1. A civil penalty in the amount of \$250.00 is imposed and is now due and owing within 30 days of the effective date of this Order. The previously assessed penalty of \$5675.00 remains unpaid and is past due; and
2. The effective date of this Order is November 20, 2012, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 11/20/2012


William M. McGuffage, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 12 DQ 139
)
Citizens for Tyler Smith)
 Respondent(s).)

FINAL ORDER

TO: Citizens for Tyler Smith ID# 21819
 6701 N Main St
 Rockford, IL 61103

This matter coming to be heard this 22nd day of May, 2012 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

THE BOARD FINDS

1. In case number 12 DQ 139, a \$550.00 civil penalty was assessed against the Respondent for the delinquent filing of the December 2011 Quarterly Report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$500.00 for the delinquent filing of the April 2009 Pre-election report (09 CE 077), a penalty of \$3079.00 for failing to file Schedule A-1's in conjunction with the April 2009 election (09 AE 042), and a penalty of \$4625.00 for the delinquent filing of the June 2010 Semi-annual report (10 JS 236). These assessments were not appealed; the \$3079.00 Schedule A-1 assessment was reduced to \$308.00 by the Board, and has been paid by the committee. The remaining assessments totaling \$5125.00 remains unpaid.

IT IS ORDERED:

1. A civil penalty in the amount of \$550.00 is imposed and is now due and owing within 30 days of the effective date of this Order. The previously assessed penalty of \$5125.00 remains unpaid and is past due; and
2. The effective date of this Order is May, 24, 2012, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 5/24/2012


William M. McGuffage, Chairman

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

14 AJ 010

Boone County Republican Central Committee

ID# 749

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$1,500 contribution and a \$2,500 contribution on 5/5/14, and reported both on a Schedule A-1 received by the Board on 7/11/14, 42 days late, resulting in a civil penalty assessment of \$2,000. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, paid) for failure to file a Schedule A-1 in the second quarter of 2012. The total assessment is \$2,000.

Patrick Murphy, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Murphy states that he took over as Chairman of the Committee in April 2014, and was unaware of the A-1 filing requirements at the time. He says he regrets he was not informed of the proper procedures and will attempt to meet the filing requirements in the future.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the second A-1 violation for this Committee, I recommend the penalty be reduced to 50% of the original assessment, or \$1,000. If these recommendations are accepted by the Board, the \$1,000 civil penalty will be due and owing. (As of 9/30/14, this Committee reported a funds available balance of \$1,139.42.)



Tom Newman – Hearing Officer
December 2, 2014

State of Illinois)
County of: Boone)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **14 NOV 20 PM 2:55**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Boone County Republican Central Committee)
Respondent(s).)

Case No. 14A9010

APPEAL AFFIDAVIT

I, Patrick J. Murphy, the Chairman of the
(Name) (Chairman/Treasurer)

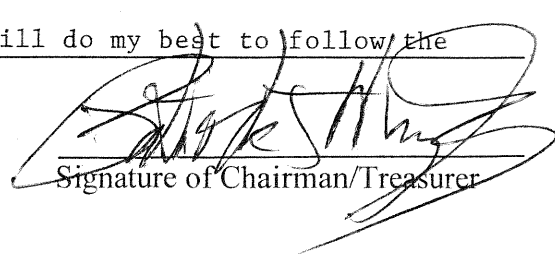
Boone County Republican Central Committee

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I, Patrick J. Murphy, Was elected as chairman of the Boone County Central Committee on
April 29, 2014. I assumed the position with no knowledge of procedures. I was unaware of the
notification of receipts of over \$1000.00. I did report the receipt of monies from the
Republican Club on the A-1, D-2 which was filed on 07/11/2014. The confirmation # 526540.

I regret that I was not informed of proper procedures and will do my best to follow the
proper procedures in the future.


Signature of Chairman/Treasurer

Signed and Sworn to by:

Terri L. Bullard

before me this 18 Day of November, 2014

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

November 14, 2014

Boone County Republican Central Cmte
Patrick J. Murphy
520 Pearl St #315
Belvidere, IL 61008

ID# 749

7013 1710 0001 8744 7094

Dear Boone County Republican Central Cmte:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Boone County Republican Club	5/5/2014	\$1500	7/11/2014	42	\$750
Boone County Republican Club	5/5/2014	\$2500	7/11/2014	42	\$1250

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$2000.00 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$200.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 15, 2014 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782,1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

In the Matter of:

and

***Friends of Sherman C. Jones,
Respondent.***

)
)
)
)
) **14 CD 113**

I. BACKGROUND

- Section 9-9: It is alleged the Respondents failed to include the notice required when funds are solicited.
- Section 9-10: requires the treasurer to file all reports required by the Act.
- Section 9-11: Requires the disclosure of the names of all contributors who have given \$150 plus the occupation and name of employer of those who have given in excess of \$500.
- Section 9-25.1: The use of public funds to vote for or against any candidate.

(B) Count Four: The allegation that the Respondent committee failed to report contributions and improperly maintaining a negative balance since the June 2013 Quarterly Report.

II. PUBLIC HEARING

The Public Hearing was held on November 19, 2014, in the Chicago office of the State Board of Elections. The Complainant appeared Pro-Se. The Respondent was represented by Jason A. Guisenger of Klein, Thorpe & Jenkins, Ltd. Also present on behalf of the Respondents were Sherman C. Jones, Mayor of Broadview, and Matthew Ames, the Public Works Director of Broadview.

The following were introduced as State's Group Exhibit #1: Order dated October 23, 2014; Notice of Appointment of Hearing Officers, Notice of Public Hearing and D-4 Complaint.

Initially, a settlement conference, pursuant to Rule 125.140, was conducted at the request of the Respondent.

A. The Complainant's Case-in-Chief

The Complainant's request to obtain discovery was denied because there had been no request for subpoenas prior to the November 19, 2014, public hearing. The complainant indicated she had not submitted a request prior to the hearing because of concern it would be viewed as an ex-parte communication.

It was pointed out by the Hearing Examiner that a Pro-Se litigant is held to the same standards as a licensed attorney. Complainant's objection was noted for the record.

The following exhibits were submitted by the Complainant:

Complainant Exhibit #1: An invitation by the Broadview First Party to a fundraiser on March 3, 2013.

Complainant #2: Letter from the State Board of Elections dated November 8, 2012, terminating the Broadview First Party as an active political committee.

Complainant #3: Form D-1 filed September 1, 2011, by the Broadview First Party as a political party committee to support Kevin McGrier, Judy Hargrett and Sherman Jones in the 2013 elections.

The Complainant also relied on the attachments to the D-4 Complaint which were submitted as part of State Group Exhibit #1.

The Complainant pointed out that the "Invitation" marked as Complainant Exhibit #1 did not contain the language required by Section 9-9. Respondent, however, noted that the Broadview First Party was not a named Respondent. The Complainant replied that Sherman Jones was named on the Form D-1 (Complainant Exhibit #3) as a candidate supported by the Broadview First Party. Furthermore, the fact that the Broadview First Party was "terminated" by the State Board of Elections (Complainant Exhibit #2) results in Friends of Sherman Jones being the default active committee. Respondent countered that the Broadview First Party was "administratively terminated" but still continued to operate. It should be noted that the Broadview First Party was "administratively terminated" on November 8, 2012. The event in Exhibit #1 is dated March 3, 2013. Furthermore, the Certificate of Officers Authorized to Fill Vacancies in Nomination for a New Political Party, attached to State's Group Exhibit #1, and part of the original D-4 Complaint, is dated December 22, 2012, and relates to the Broadview First Party. However, the Broadview First Party is not a Respondent at this time. Complainant's Exhibits are attached to this Recommendation.

The Complainant's request to question Matthew Ames in Regards to his assertion he was the treasurer, even though the D-2 was signed by Helen Brown, was denied because that issue was not raised in the D-4 Complaint.

The Complainant pointed out that the Respondent, by inadvertently reporting "debts and obligations" as "expenditures", could trigger possible A-1 violations if any of the vendors who were owed money later waived payment.

B. Respondent's Case-in-Chief

Respondent did not offer any testimony or present any documents. Respondents stated that the committee carried a negative balance because it was inadvertently reporting "debts and obligations" as "expenditures". Respondent stated it would be filing amended reports. At the request of the Hearing

Examiner, the Respondent is willing to provide bank statements, invoices, bills, etc., to verify the amounts to be reported on amended D-2's. The information would be subject to review by the staff, but would not be available for inspection and review by the Complainant.

III. DISCUSSION

It is not disputed that the reports submitted by the Friends of Sherman C. Jones for the following quarters are incorrect:

<u>Quarterly Report</u>	<u>Negative Balance</u>
April 1, 2013 – June 30, 2013	\$ - 25,680.90
July 1, 2013 – September 30, 2013	- 21,309.45
October 1, 2013 – December 1, 2013	- 19,714.54
January 1, 2014 – March 31, 2014	- 24,714.54
April 1, 2014 – June 30, 2014	- 25,100.34

The Campaign Financing Act operates on a cash based system. Thus, certain "expenditures" should have been reported as "debts and obligations". The Respondents acknowledge the error which inflated the expenditure total and resulted in a negative balance. Filing amended reports will clarify which "expenditures" have been paid and which "expenditures" should have been reported as "debts and obligations." Those vendors that have not been paid should be reported as "debts and obligation". If a vendor has chosen to waive any portion of payment, potential A-1 violations may be triggered.

In regards to the failure to include the language required by Section 9-9 when soliciting funds, it is clear that the language should have appeared on the Invitation. However, it is less clear that Broadview First Party and Friends of Sherman C. Jones are the same entities. Broadview First Party clearly was active after November 8, 2013 when it was "administratively terminated" by the State Board of Elections. Complainant contends since the Broadview First Party was "terminated" by the State Board of Elections on November 8, 2013, it ceased existence. Thus, Complainant alleges the Broadview First Party Invitation (Complainant Exhibit #1) is a violation of Section 9-9 by the Friends of Sherman C. Jones political committee with Sherman C. Jones being the common thread to both political committees. A candidate being included in more than one political committee can raise other issues. However, the Broadview First

Party is not a Respondent in the present D-4 Complaint. Thus, a possible Section 9-9 violation cannot be attributed to Friends of Sherman C. Jones.

IV. FINDINGS OF FACT

1. The Broadview First Party, deemed "inactive" by the State Board of Elections as of November 8, 2012 (Complainant's Exhibit #2), ceased to be a political committee and is not subject to the provisions of Section 9-9.
2. The Broadview First Party and Friends of Sherman C. Jones Committee appear to be 2 separate political committees.
3. Complainant's Exhibit #1, the "Invitation" by the Broadview First Party dated March 3, 2013, appears to violate Section 9-9 which requires political committees to include certain language on literature and advertisements soliciting funds.
4. The State Board of Elections, however, does not have jurisdiction over the Broadview First Party.
5. The Friends of Sherman C. Jones Committee violated the provision of Section 9-10 and 9-11 of the Campaign Financing Act by filing the following D-2 reports which contained negative balances:

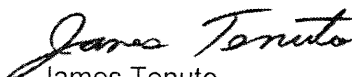
<u>Quarterly Report</u>	<u>Negative Balance</u>
April 1, 2013 – June 30, 2013	\$ - 25,680.90
July 1, 2013 – September 30, 2013	- 21,309.45
October 1, 2013 – December 1, 2013	- 19,714.54
January 1, 2014 – March 31, 2014	- 24,714.54
April 1, 2014 – June 30, 2014	- 25,100.34

V. RECOMMENDATION

It is the Recommendation of the Hearing Examiner that the Board issue the following Order to the Respondent, Friends of Sherman C. Jones:

1. Submit Amended D-2 forms for the following quarters within 30 days of the Order:
April 1, 2013 – June 30, 2013
July 1, 2013 – September 30, 2013
October 1, 2013 – December 1, 2013
January 1, 2014 – March 31, 2014
April 1, 2014 – June 30, 2014
2. Submit bank records, receipts invoices, proof of existing outstanding debts and obligations as well as any supporting documentation to the staff of the State Board of Elections to verify the information submitted in the Amended D-2 Reports as set forth in (1) above.
3. The information submitted pursuant to (2) shall be reviewed by the staff of the State Board of Elections and not be subject to review by the Complainant.
4. Respondent is to provide any further documentation requested by the staff of the State Board of Elections staff after review of the information submitted pursuant to (2) above.
5. Failure to comply with the Order will subject the Respondent to a civil penalty not to exceed \$5,000 pursuant to Section 5/9-23 of the Election Code.

Respectfully submitted,


James Tenuto
Hearing Examiner

DATED: November 25, 2014



What: Broadview First Party
Community Convention

When: Sunday, March 3, 2013
4:00pm to 7:00pm

Where: Blue Note Jazz Club
1715 W. Roosevelt Road, Broadview IL
2 miles west of Harlem Avenue
Parking & Entrance in rear.
(708) 343-2670

"Join us and learn what is going on with the April 9th Election"

- Good Food
- Live Music (from Local Musicians...Country, Rhythm & Blues)
- Fun
- Troupe of DJs (Family Biz Entertainment: DJ Goldie, DJ Random, DJ A/K, DJ Antman, DJ Skeebo and DJ G Cube)
- Voter Information for the upcoming election
- Did we mention Good Food and Fun??

Admission:

\$13 General Admission
\$10 Seniors



Completed ECH = 1

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-8440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgmiller
November 8, 2012

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Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Broadview First Party
2509 S 11th Ave
Broadview, IL 60155-4813

ID# 23786

CORRECTED LETTER

Dear Broadview First Party:

In accordance with Section 18.03.9 of the policy manual of the State Board of Elections, our agency is terminating Broadview First Party as an active political committee. The Campaign Disclosure Division is authorized to administratively terminate a political committee in which

1. The Campaign Disclosure Division has been unable to locate,
2. The Campaign Disclosure Division has determined to be inactive,
3. Has not responded to Board initiated correspondence for a minimum of 12 months, and
4. Had a closing balance on its last filed report of less than \$10,000.00.

The above listed committee has been deemed inactive by the Campaign Disclosure Division and termination has been approved by the Executive Director of the State Board of Elections. This letter will serve as notice of official administrative termination.

If you have any questions, please feel free to contact our office at 217/782-4141.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jar

Complaint Exhibit #2



FORM

D-1

STATEMENT OF ORGANIZATION

PLEASE TYPE OR PRINT IN BLACK INK

11 SEP -1 AM 8:01

Full name and complete mailing address of Political Committee

Broadview First Party

2509 S. 111th AVE
BROADVIEW IL 60155

E MAIL ADDRESS:

☐ CHECK HERE IF ADDRESS CHANGEPOLITICAL COMMITTEE
IDENTIFICATION No

23786-2

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

1. DATE COMMITTEE CREATED: 09/01/2011 2. AMOUNT OF FUNDS AVAILABLE AS OF
CREATION DATE: \$ 0

3. ☒ NEW COMMITTEE (MUST BE FILED WITHIN 10 DAYS OF CREATION OR WITHIN 2 DAYS IF CREATED WITHIN 30 DAYS
BEFORE AN ELECTION.)
☐ AMENDMENT (MUST BE FILED WITHIN 10 DAYS OF ANY CHANGES. ENTER ONLY THOSE CHANGES FROM LAST D-1
ON FILE)
☐ REACTIVATING

4. POLITICAL COMMITTEE'S DESIGNATION:

ALL COMMITTEES CHOOSE ONE:



CANDIDATE POLITICAL COMMITTEE*



POLITICAL ACTION COMMITTEE



POLITICAL PARTY COMMITTEE



BALLOT INITIATIVE COMMITTEE

*For purposes of contribution limits and reporting requirements a single Candidate Political Committee supporting a candidate for
multiple offices elected at different elections must designate an election cycle by listing the appropriate office. This office is:

5. POLITICAL COMMITTEE'S AREA OF ACTIVITY, SCOPE, AND PARTY AFFILIATION.

A. THIS COMMITTEE WILL PRIMARILY OPERATE IN THE FOLLOWING COUNTY(IES) OR DISTRICT(S):
(not applicable if operating statewide or supporting/opposing statewide candidates or ballot initiatives)
COOK

B. POLITICAL PARTY AFFILIATION: Non-PartisanC. NAME AND ADDRESS OF EACH SPONSORING ENTITY:
none

6. PURPOSE OF THE POLITICAL COMMITTEE:

7. CANDIDATE(S) THE COMMITTEE IS SUPPORTING OR OPPOSING. (IF AMENDING, LIST ALL AS OF TODAY'S DATE.)

NAME AND ADDRESS	SUPPORT	OPPOSE	OFFICE	PARTY AFFILIATION
KEVIN MCGUIRE 2509 S. 111 th AVE	<input checked="" type="checkbox"/>	<input type="checkbox"/>		NON PARTISAN
JUDY HARGRETT 2422 S. 112 th AVE				
SHIRLEY JONES 1833 S. 114 th AVE				

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

THIS FORM MAY BE REPRODUCED

PAGE 1 OF 2

REVISED 1/1/11

Complaint to #3

COMMITTEE NAME BROADVIEW FIRST PARTY	POLITICAL COMMITTEE IDENTIFICATION No. 23786
--	--

8. REQUIRED COMMITTEE OFFICERS.

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER AND E-MAIL ADDRESS
CHAIRMAN	KEVIN MCGRIGER	2509 S 11TH AVE BROADVIEW, IL 60155
TREASURER	JUDY HARTGRET	2422 S. 12TH AVE BROADVIEW, IL 60155

9. POSITION, NAME & MAILING ADDRESS OF EACH CUSTODIAN OF THE COMMITTEE'S BOOKS AND ACCOUNTS.

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS

10. LIST OF ALL FINANCIAL INSTITUTIONS AND OTHER REPOSITORIES OF THE COMMITTEE FUNDS.
(IF AMENDING, LIST ALL AS OF TODAY'S DATE.)

NAME	MAILING ADDRESS AND PHONE NUMBER

11. DISPOSITION OF RESIDUAL FUNDS IN THE EVENT OF DISSOLUTION OR TERMINATION OF THE COMMITTEE:

- ☒ RETURN TO CONTRIBUTORS IN AMOUNTS NOT TO EXCEED THEIR INDIVIDUAL CONTRIBUTIONS.
- ☐ TRANSFER TO ANOTHER POLITICAL COMMITTEE: _____
- ☐ TRANSFER TO A CHARITABLE ORGANIZATION: _____

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

VERIFICATION: BALLOT INITIATIVE COMMITTEES ONLY

DECLARE THAT THIS BALLOT INITIATIVE COMMITTEE IS FORMED FOR THE PURPOSE OF SUPPORTING OR OPPOSING A QUESTION OF PUBLIC POLICY. ALL CONTRIBUTIONS AND EXPENDITURES OF THE COMMITTEE WILL BE USED FOR THE PURPOSE DESCRIBED IN THIS STATEMENT OF ORGANIZATION. THE COMMITTEE MAY ACCEPT UNLIMITED CONTRIBUTIONS FROM ANY SOURCE PROVIDED THAT THIS BALLOT INITIATIVE COMMITTEE DOES NOT MAKE CONTRIBUTIONS OR EXPENDITURES IN SUPPORT OF OR OPPOSITION TO A CANDIDATE OR CANDIDATES FOR NOMINATION FOR ELECTION, ELECTION OR RETENTION, AND FAILURE TO ABIDE BY THESE REQUIREMENTS SHALL DEEM THIS COMMITTEE IN VIOLATION OF THIS ARTICLE. (10 ILCS 5/9)

KEVIN MCGRIGER *Kevin McGriger*
PRINTED AND WRITTEN SIGNATURE OF COMMITTEE CHAIRPERSON

8-31-11
DATE

VERIFICATION: ALL POLITICAL COMMITTEES INCLUDING BALLOT INITIATIVE COMMITTEES

DECLARE THAT THIS STATEMENT OF ORGANIZATION (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, IS A TRUE, CORRECT, AND COMPLETE STATEMENT OF ORGANIZATION AS REQUIRED BY ARTICLE 9 OF THE ELECTIONS CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT.

Judy Hartgret *Judy Hartgret*
PRINTED AND WRITTEN SIGNATURE OF TREASURER OR CANDIDATE

8/31/11
DATE

THE ELECTIONS CODE REQUIRES THE SIGNATURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS CONTAINED UNDER CHAPTER 10.1495. FAILURE TO FILE OR WILLFULLY FILING OF FALSE OR INCOMPLETE INFORMATION REQUIRED BY THIS ARTICLE MAY CONSTITUTE A BUSINESS OFFENSE SUBJECT TO A FINE OF UP TO \$5000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT.

ALL POLITICAL COMMITTEES RETURN TO:

STATE BOARD OF ELECTIONS

PO BOX 4107

TULSA, OKLAHOMA 74101

SPRINGFIELD, IL 62706-1017

Tel: 317-557-5830

e-mail: DIRECTION@ILGOV-18.ONLY

STATE BOARD OF ELECTIONS

JAMES R. THOMPSON CENTER

TOWNSMAN, OKLAHOMA 74101

TULSA, OKLAHOMA 74101

Tel: 317-557-5830

e-mail: DIRECTION@ILGOV-18.ONLY

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
Judy Brown Marino,)
 Complainant(s),)
 vs.) 14 CD 113
)
Friends of Sherman C. Jones,)
 Respondent(s).)

ORDER

TO: Judy Brown Marino Friends of Sherman C. Jones
 2841 S. 10th Avenue PO Box 6362
 Broadview, IL 60155 Broadview, IL 60155

This matter coming to be heard this 21st day of October, 2014 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-9, 5/9-10, 5/9-11 and 5/9-25.1 in that the Respondent committee failed to include the proper attribution of source and solicitation of funds language on political communications, failed to include employer and occupations on its campaign disclosure reports, failed to report contributions and spent public funds for political purpose; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

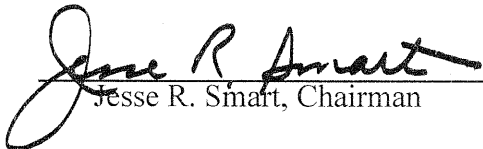
1. In regards to the allegation that the Respondent committee failed to include the proper solicitation of funds language required by Section 9-9 of the Election Code on political literature, the complaint was filed on justifiable grounds; and
2. In regards to the allegation that the Respondent committee failed to include employer and occupations on its March 2013 Quarterly report, the complaint was filed on justifiable grounds, and
3. In regards to the allegation that the Respondent committee failed to include the employer and occupation of David Upshaw, the complaint was not filed upon justifiable grounds, and
4. In regards to the allegation that the Respondent committee failed to report contributions and improperly maintaining a negative balance since the June 2013 Quarterly report, the complaint was filed was filed on justifiable grounds, and
5. In regards to the allegation that the Respondent committee failed to file Schedule A-1 reports, the complaint was not filed upon justifiable grounds, and

6. In regards to the allegation that the Respondent committee violated Section 5/9-25.1, the complaint be dismissed, and
7. In regards to the allegation that the Respondent committee used public funds to promote a Community meeting the complaint was not filed upon justifiable grounds.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public Hearing be held in regards to counts one and four, and
3. Counts two, three and five of the complaint are dismissed and no further action is required, and
4. The committee file an amended March 2014 quarterly report to include the employer and occupation of its contributors within 30 days of the effective date of this Order, and
5. The effective date of this Order is October 23, 2014, and
6. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 10/23/2014


Jesse R. Smart, Chairman



FORM

D-4

CHICAGO
COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT
STATE BOARD OF ELECTIONS
2014 JUN 28 PM 4:27
CHICAGO

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

JUDY BROWN-MARINO
2841 S. 10th AVE
BROADVIEW, IL 60155
708-945-4942

vs.

No. 14CD113

NAME AND ADDRESS OF RESPONDENT:

FRIENDS OF SHORMAN C. JONES
PO BOX 6362
BROADVIEW, IL 60155SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS? ☒ Yes ☐ NoSECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)
SEE ATTACHEDSECTION 3. STATE THE NATURE OF THE OFFENSE(s) OR VIOLATION(s), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)
SEE ATTACHED

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

8-28-14

DATE

Judy Brown-Marino
SIGNATURE OF COMPLAINANT(IF COMPLAINANT IS A CORPORATION THEN
VERIFICATION MUST BE SIGNED BY AN AUTHORIZED
OFFICER AND ATTESTED TO BY THE SECRETARY)

PROOF OF SERVICE

I, JUDY BROWN-MARINO HEREBY SWEAR OR AFFIRM THAT I SERVED A COPY OF THE FOREGOING COMPLAINT UPON THE FOLLOWING:

- a) BY PERSONALLY DELIVERING THE SAME ON THE ____ DAY OF _____, 20__ AT ____ O'CLOCK ____ M.
(NAME AND TITLE OF PERSON OR IF NAME IS UNKNOWN A PHYSICAL DESCRIPTION INCLUDING RACE, SEX, AND APPROXIMATE AGE). OR:

SHERMAN JONES (HOME)
1837 S. 15TH AVE
BROADVIEW, IL 60155

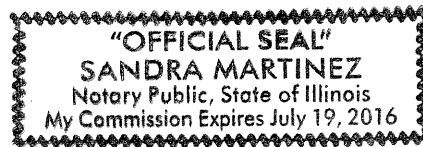
- b) BY PLACING A COPY THEREOF IN THE UNITED STATES POSTAL SERVICES, PROPER POSTAGE PREPAID, TO THE ABOVE INDICATED ADDRESSES, ON THE 28 DAY AUGUST OF 2014 20__, AT THE MAIL BOX OR POSTAL STATION LOCATED AT: BERWYN, IL

Judy Brown-Marino
SIGNATURE OF COMPLAINANT OR AGENT

SUBSCRIBED AND SWORN TO BEFORE ME

THIS 28th DAY OF August 2014

[Signature]
NOTARY PUBLIC



INSTRUCTIONS

1. THIS FORM IS USED TO FILE COMPLAINTS FOR VIOLATIONS ARISING OUT OF AN ACT TO REGULATE CAMPAIGN FINANCING (ILLINOIS REVISED STATUTES, CHAPTER 46, ART. 9-1 ET SEQ.). SEE RULES AND REGULATIONS OF THE STATE BOARD OF ELECTIONS FOR THE FILING AND HEARING OF COMPLAINTS.
2. THE FILING AND HEARING OF COMPLAINTS ARE GOVERNED BY RULES AND REGULATIONS ADOPTED BY THE BOARD. IF A COMPLAINT IS FILED WITHIN 60 DAYS PRIOR TO THE DATE OF AN ELECTION IN REFERENCE TO WHICH THE COMPLAINT IS FILED, THE COMPLAINANT MUST SERVE A COPY OF THE COMPLAINT UPON ALL RESPONDENTS PRIOR TO THE TIME OF FILING. COPIES OF THE RULES AND REGULATIONS ARE AVAILABLE UPON REQUEST.
3. COMPLAINTS MUST BE FILED BY MAIL OR IN PERSON AT EITHER OF THE FOLLOWING LOCATIONS:

STATE BOARD OF ELECTIONS

2329 S MACARTHUR BLVD
SPRINGFIELD, ILLINOIS 62704-4503

STATE BOARD OF ELECTIONS

STE 14-100
100 W RANDOLPH ST
CHICAGO, IL 60601-3232

STATE BOARD OF ELECTIONS

2329 South MacArthur Blvd.

Springfield, Illinois 62704

217/782-4141

Sharon Steward

Director, Division of Campaign Disclosure

To: Members of the Board; Rupert T Borgsmiller, Executive Director

Re: 2015 Random Audits

Date: December 5, 2014

Pursuant to 10 ILCS 5/9-13, the Board is required to order up to 3% of active political committees annually to perform internal audits. In 2012, the Board determined that due to the fact that 2012 was the first time this section of the Act would be implemented it would order only .05% of the political committees to conduct audits. In 2013, the Board raised that to 1% or approximately 40 committees. In 2014, the Board raised that to 2% or 75 committees. It is the recommendation of staff that the 2015 percentage remain at 2%. Random selection will be accomplished on the first business day in May.

Now that this Section of the Act has been in effect for three years, the Board has determined that some of the provisions of the Rules as they currently exist are unworkable and have therefore recommended changes. Those rules will be submitted to JCAR later this month. One of those changes is adjusting the political committee selection date from the first business day in February to the first business day in May. Another change adjusts the date by which the Board determines the percentage of random audits to be ordered from no later than December 31st to no later than March 31st. Other changes provide for additional time for a political committee to file a Final Report and therefore, opt out of the audit.

As was pointed out in our last Compliance Audit, there were certain provisions that were not strictly adhered to by staff during the previous two years. Some of these issues will be made more manageable by the proposed rules. However, one of the reasons for this failure to strictly comply with time frames was so that staff could personally work with committees, believing that the ultimate goal was either the filing of a completed audit or a final report, even if specific deadlines weren't always met. The Auditor General's Office in their findings, advised us otherwise. Therefore, in 2014, staff complied with the rules, including the proposed changes, since the existing rules without said changes were unworkable. Staff referred eleven committees to the appropriate state's attorneys; we have not been advised whether any action has been taken.

I am asking for the Board's approval to again use the 2% figure and also its approval to again adhere to the rules with the proposed changes. Hopefully, by the next compliance audit, the amended rules will have been approved.

Attached are applicable sections of the Act and the Proposed Rules.....

Campaign Disclosure Act – 10 ILCS 5/9-13

5/9-13. Audits of political committees.

- (a) The Board shall have the authority to order a political committee to conduct an audit of the financial records required to be maintained by the committee to ensure compliance with Sections 9-8.5 and 9-10. Audits ordered by the Board shall be conducted as provided in this Section and as provided by Board rule.
- (b) The Board may order a political committee to conduct an audit of its financial records for any of the following reasons: (i) a discrepancy between the ending balance of a reporting period and the beginning balance of the next reporting period, (ii) failure to account for previously reported investments or loans, or (iii) a discrepancy between reporting contributions received by or expenditures made for a political committee that are reported by another political committee, except the Board shall not order an audit pursuant to this item (iii) unless there is a willful pattern of inaccurate reporting or there is a pattern of similar inaccurate reporting involving similar contributions by the same contributor. Prior to ordering an audit, the Board shall afford the political committee due notice and an opportunity for a closed preliminary hearing. A political committee shall hire an entity qualified to perform an audit; except, a political committee shall not hire a person that has contributed to the political committee during the previous 4 years.
- (c) In each calendar year, the Board shall randomly order no more than 3% of registered political committees to conduct an audit. The Board shall establish a standard, scientific method of selecting the political committees that are to be audited so that every political committee has an equal mathematical chance of being selected.
- (d) Upon receipt of notification from the Board ordering an audit, a political committee shall conduct an audit of the financial records required to be maintained by the committee to ensure compliance with the contribution limitations established in Section 9-8.5 and the reporting requirements established in Section 9-3 and Section 9-10 for a period of 2 years or the period since the committee was previously ordered to conduct an audit, whichever is shorter. The entity performing the audit shall review the amount of funds and investments maintained by the political committee and ensure the financial records accurately account for any contributions and expenditures made by the political committee. A certified copy of the audit shall be delivered to the Board within 60 calendar days after receipt of notice from the Board, unless the Board grants an extension to complete the audit. A political committee ordered to conduct an audit

through the random selection process shall not be required to conduct another audit for a minimum of 5 years unless the Board has reason to believe the political committee is in violation of Section 9-3, 9-8.5, or 9-10.

- (e) The Board shall not disclose the name of any political committee ordered to conduct an audit or any documents in possession of the Board related to an audit unless, after review of the audit findings, the Board has reason to believe the political committee is in violation of Section 9-3, 9-8.5, or 9-10 and the Board imposed a fine.
- (f) Failure to deliver a certified audit in a timely manner is a business offense punishable by a fine of \$250 per day that the audit is late, up to a maximum of \$5,000.

Administrative Rules

Section 100.175 Audit Findings for Political Committees (Underlined words are those being added to existing rules. Lined out words are being deleted.)

- a) The Board may order a political committee to conduct an audit of its financial records based upon criteria outlined in Section 9-13(b) of the Election Code. These criteria are limited to a situation in which:
 - 1) there is a discrepancy between the committee's ending and beginning balances contained in 2 or more successive reports;
 - 2) there is a failure to account for a previous investment or loan in a subsequent report or reports; and
 - 3) there is a willful pattern of nonreconciliation of contributions received from or expenditures made from one political committee to another political committee, such that the reported amounts of the one committee do not correspond with the reported amounts of the other committee.
- b) Conditions Precedent to Requirement for an Audit Pursuant to Section 9-13(b) of the Election Code
 - 1) Prior to ordering an audit pursuant to subsection (a), the Board will send to the committee address, the committee chairman, the committee treasurer, and any candidate designated on the Form D-1 Statement of Organization as being supported by the committee a notice in the form of a pre-audit letter stating that the Board intends to order the committee to conduct an audit based upon reasons outlined in the letter.
 - 2) Prior to conducting an audit pursuant to subsection (a), the committee will be afforded an opportunity for a closed preliminary hearing to give reasons why the committee should not be ordered to conduct an audit, and the committee will be given an opportunity to correct the deficiencies or omissions that gave rise to the issuance of the pre-audit letter. At the conclusion of the closed preliminary hearing, a recommendation will be issued stating whether grounds exist to order the audit.
 - 3) If, after the closed preliminary hearing, the Board determines that there are insufficient grounds upon which to order an audit, no further action will be taken.
 - 4) If, after the closed preliminary hearing, the Board determines that there are sufficient grounds upon which to order an audit, the committee will be ordered to conduct an audit as provided in Section 9-13 of the Election Code.
 - 5) The procedures contained in 26 Ill. Adm. Code 125, Subparts A and B shall apply to the closed preliminary hearing to the extent that they are not inconsistent with the provisions of Section 9-13.
- c) Selection of Committees for Random Audit~~Auditing~~

- 1) In each calendar year, the Board shall randomly select no more than 3% of the registered political committees to conduct an audit. No later than ~~December 31~~ March 31st of the year preceding the selection, the Board will decide, based on staff recommendations, what percentage of political committees will be selected for audits in the year of the selection. The selection:

- A) shall be made no later than the first business day in ~~May~~ February; and
- B) shall be made from all political committees on file with the Board whose status is active at the time of the random selection.

- 2) The method of selection shall be the same method that the Board uses to select the 5% of the total number of precincts in a given election authority jurisdiction for the purposes of conducting a post-election retabulation as provided in Sections 24A-15, 24B-15 and 24C-15 of the Election Code. Once a committee has been selected to conduct an audit based on the random selection provided in subsection (c)(1), the Board shall send to the committee address, the committee chairman, the committee treasurer, and any candidate designated on the Form D-1 as being supported by this committee, a notice that the committee has been selected to conduct an audit. The provisions in subsection (b) relating to the closed preliminary hearing shall not apply to committees that have been randomly selected to conduct an audit; however, the committee may be excused from conducting an audit pursuant to subsection (h).

d) Auditor

- 1) A political committee that has been ordered to conduct an audit pursuant to this Section~~subsection (a)~~ shall hire an entity qualified to perform the audit, i.e., a licensed certified public accountant (CPA) or other person qualified to perform an audit. The auditor shall:
 - A) have the proper training and experience to perform a financial analysis of campaign finance committees. ~~The~~Such training may be the result of possession of a CPA license, possession of a degree in accounting from an accredited accounting or business school and/or experience as an accountant gained from past employment; ~~and~~
 - B) not have contributed to the political committee during the 4 year period immediately preceding the order of the audit; and
 - C) not be a current officer, a previous officer, or otherwise affiliated with the committee.
- 2) If the person selected by the committee to perform an audit is not a CPA, the committee shall, prior to the person conducting the audit, submit to the Board for approval the person selected. The committee shall include with the submission any information regarding the qualifications of the person to perform an audit that would inform the Board of the qualifications. The committee may appear before the Board to argue the selected person's qualifications. The Board shall provide written notice to the committee stating whether the selected person

is approved and, if not approved, the reasons for denial of approval. If the Board does not approve of the person selected, the committee shall, within 10 business days after the date of the nonapproval notice, select another person to conduct the audit and submit that person for Board approval in accordance with this subsection (d).

- e) Any audit ordered by the Board shall include and cover all financial records required to be maintained by the committee as provided in Section 9-7 of the Election Code. The audit shall be conducted in such a way as to ensure compliance with the contribution limitations set forth in Section 9-8.5 and the reporting requirements set forth in Sections 9-3 and 9-10. The records shall include any and all financial records in the possession or under the control of the committee or the financial institution in which the committee's funds are held, including, but not limited to:
 - 1) Bank statements;
 - 2) Deposit slips;
 - 3) Internal registers or ledgers; and
 - 4) Records maintained and stored in any type of electronic medium.
- f) The audit shall only cover the 2 year period immediately preceding the order of the audit or the period of time since the committee was last ordered to conduct an audit, whichever is shorter. However, if any portion of these time periods covers a time in which the contribution limits provision of Article 9 of the Election Code was not in effect, those limits shall not be included in the scope of the audit.
- g) If the Board determines that the committee is in violation of Sections 9-3, 9-8.5 or 9-10, it may assess a penalty for non-compliance consistent with the penalty provisions contained in those Sections and 26 Ill. Adm. Code 125.425. However, no additional penalty shall be imposed by the Board for any violation found as a result of a Board ordered audit if the Committee has previously been assessed a penalty for that violation.
- h) Excusal~~Excused~~ from Random Audit ~~Audit~~
 - 1) Any political committee, other than a state central committee or a county central committee, ordered by the Board to conduct a random~~a random~~ audit whose chairman, treasurer or candidate on whose behalf the committee was formed, that states under oath, in a signed and notarized affidavit, that the committee lacks the financial means to hire a CPA or other qualified person may, in lieu of conducting an audit, dissolve as a political committee and file a final report with the Board within 60 calendar~~10 business~~ days following the date of the notice of audit. The committee must remain dissolved for a period of at least 4 years.
 - 2) If the committee fails to dissolve within 60 calendar~~10 business~~ days after the date of the notice of audit, the Board staff shall contact the committee ~~within 2 business days~~ and inform it that the option of excusing itself from conducting an audit will not be available to the committee unless it dissolves within 5 business~~30 calendar~~ days after notification being informed. If the committee does not dissolve within the 5 business~~30 calendar~~ days, the committee shall be required

to conduct the audit under the provisions of Section 9-13 of the Code and Section 100.175 of this Part.

- 3) In order to be excused from conducting a random~~an~~ audit, the committee must have a funds balance that does not exceed the cost of hiring a CPA or other qualified person, such cost being typical for the county in which the committee is located. The committee must submit with its affidavit a written cost estimate from at least one CPA or other qualified person located in the county in which the chairman, treasurer or candidate resides. The provisions of subsection (d) pertaining to restrictions on CPAs or other qualified persons chosen to conduct an audit shall also apply to the CPA or other qualified person whose fee is used as a basis to determine the financial ability of the committee to pay the cost of a CPA or other qualified person.
- 4) If a political committee dissolves as a result of its financial inability to conduct an audit, as provided in subsection (h)(1), and then reactivates during the 4 year period it was required to remain dissolved, as a condition of its reactivation, within 60 days after reactivation, the committee must conduct an audit covering the 2 year period immediately prior to the committee's dissolution.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

MEMORANDUM

FROM: Tom Newman, Deputy Director, Division of Campaign Disclosure

TO: Members of the Board, Rupert T. Borgsmiller, Executive Director, Steve Sandvoss, General Counsel

RE: Settlement Offers

Date: November 20, 2014

Per Member McGuffage's request at the September 2014 Board meeting and by directive of the Board at its November 17, 2014 meeting, presented below for consideration and discussion is a set of proposed guidelines regarding settlement offers from political committees who have been assessed civil penalties by the Board:

Board Settlement Offer Guidelines:

Settlement offers should be limited to those committees for whom the fine represents an undue hardship or who otherwise lack the funds or the ability to raise funds to pay the fine. The committee must be able to explain or demonstrate why they are unable to raise enough funds to pay the total fine.

Settlement offers should, in most cases, not be accepted if the offered amount is less than 50% of the unpaid fine total; nor if the total penalty owed is \$500 or less.

Generally, a settlement offer should only be considered if the ratio of the fine to the committee's fund balance on each of its 4 most recent quarterly reports is greater than 50%; contributions on schedule A-1 reports filed since the last quarterly report may also be considered in this calculation.

Consideration may also be given to special circumstances, such as if a committee is fined for a late A-1 comprised of contributions or loans from the candidate to his/her own committee, or money transfers from a local chapter of a labor organization to its State organization. In addition, the Board may take into account the type of contribution at issue. For instance, a cash contribution being a liquid asset, makes it easier for the committee to pay an assessment vs. an in-kind donation in the form of goods or services. However, the value of an in-kind contribution shall be considered as well in terms of accepting a settlement offer.

There shall be no acceptance of a settlement for fines resulting from a Board finding of willfulness in connection with the delinquent filing.

The Board reserves the right to deviate from these guidelines regarding any offer, if the Board determines that the circumstances warrant such deviation.

STATE BOARD OF ELECTIONS
2329 S. MacArthur Blvd
Springfield, Illinois 62708
217/782-4141

Sharon Steward

Director, Campaign Disclosure Division

To: Rupert T Borgsmiller, Executive Director, Members of the Board

Re: Payment of Civil Penalty Assessments - Informational

Date: December 4, 2014

The following committees have made payment of outstanding civil penalties for the period 11/06/2014 - 12/04/2014

- Ford County Democrats Central Committee - \$112.50
- Citizens for David Webb - \$4800.00
- Northbrook Caucus - \$600.00
- Friends of Alyson Miller Feiger - \$250.00
- Citizens to Elect Bill Eagan - \$125.00
- Franco for Mayor - \$941.00
- Aurora Township Democratic Team - \$600.00
- Local Union 134 International Brotherhood of Electrical Workers - \$1062.50
- Friends to Elect Frederick Collins - \$500.00
- Friends for Campbell - \$95.52
- Citizens for Jim Gitz - \$150.00
- State & Local PAC of Int'l Alliance of Theatrical Stage Employees - \$725.00
- Citizens to Elect Michael "Mike" Stuttley - \$50.00
- Citizens for Cory Jobe - \$500.00
- Friends of Dan Sugrue - \$600.00
- Holland for Mayor - \$140.00
- Citizens for Bill Kilquist - \$143.00
- Friends of Cesar A. Santoy - \$100.00
- Friends of Dan Irving - \$600.00
- Citizens to Elect Ann Rodgers - \$1600.00
- Citizens for Sherwin - \$160.00
- Area Labor Education Committee - \$325.00
- Citizens for Karen McConaughay - \$50.00
- Friends of Doug Bennett - \$175.00
- Citizens for Willie B Cochran - \$750.00
- Wine and Spirits Distributors of Illinois PAC - \$350.00
- Committee to Elect Kevin Cunningham Circuit Court Judge - \$500.00

- Citizens for Tim Durkee - \$250.00
- Friends of Vivian Covington - \$16,840.81
- Citizens to Elect Mike Adriensen - \$400.00
- Illinois Good Government Project - \$600.00
- Friends of James Sexton - \$375.00
- Crawford County Republican Central Committee - \$100.00

TOTAL : \$34,570.33

Year to Date Totals:

12/27/13 to 2/06/14: \$80,041.70
 2/07/14 to 3/05/14: \$13,951.33
 3/06/14 to 4/08/14: \$19, 282.40
 4/09/14 to 5/06/14: \$15,770.37
 5/07/14 to 6/4/14: \$10,693.50
 6/05/14 to 7/9/14: \$8098.00
 7/10/14 to 8/12/14: \$24711.00
 8/13/14 to 9/3/14: \$15,938.30
 9/04/14 to 10/8/14: \$28,728.00
 10/9/2014 to 11/5/14:\$6808.05
 11/6/2014 to 12/4/14:\$34,570.33

Memorandum

From the desk of...Cristina Cray, Director of Legislation

Phone: 217-782-1577

Email address: ccray@elections.state.il.us

To: Executive Director Borgsmiller
Chairman Smart
Vice Chairman Scholz
Members of the Board
Subject: Legislative Agenda for Spring 2015
Date: December 3, 2014

Below please find a subject matter listing that we would like to present to the partisan staffs and suggest that we discuss/draft/propose in the Spring 2015 Session.

These are questions and/or concerns that have arisen over the past year that we would like to bring to their attention and get their feedback and support going forward.

Your comments and suggestions are greatly appreciated.

1. Judges of election; With the addition of many more early and grace period voting days, which judges are to be reimbursed?
2. Address petition challenges and election contests within the scope of Article 9. Are expenses related to such challenges and contests required to be disclosed?
3. If the cap is lifted for candidates for Governor, are they lifted for Lt. Governor as well? Is an expenditure by one candidate necessarily an in-kind donation to his/her running mate?
4. In terms of the Inauguration Committee/Fund, does BEREP prohibit business entities with State contracts from contributing? Can any extra money end up in the candidate's campaign committee?
5. Governor's Task Force on Campaign Finance Reform – Should it be eliminated?.
6. Article 28-10 and 28-11 clean up language in response to Senate Bill 172 (which eliminates the requirement of filing petitions for Statewide advisory referenda in bound sections for each election jurisdiction.
7. Re-introduce legislation related to the special Congressional elections to fill vacancies, and legislation eliminating the 7-day calendar for campaign disclosure complaints.

State Board of Elections
2329 So. MacArthur Blvd.
Springfield, IL 62704

Senate Bill 172 Amendment #2

1 – 9.1 new

Processing of Centrally Located Ballots

Election Authorities must disclose at least 24 hours in advance the date, time and location they will analyze, cast or count any centrally located ballots to persons requesting such information.

Page 3

1 – 9.2 new

Processing of Centrally Located Ballots

After Election Day, each election authority must disclose the number of uncounted ballots. This information must be reported to the State Board and the Board must post each jurisdiction's number on its website. *Page 3 (also see 1A-8, page 11)*

1 – 12

College Campus Voting

Early voting and Grace Period Registration must be available in the Student Union (student unions are defined in subsection (d) on page 6) Wednesday through Friday before a General Election [consolidated primaries and consolidated generals are specifically exempted]. The site must be operated from 10 am to 5 pm. *Page 4*

For purposes of this Section, “public university” means: the University of Illinois, Illinois State University, Chicago State University, governor’s State University, Southern Illinois University, Northern Illinois University, Eastern Illinois University, Western Illinois University and Northeastern Illinois University. *Page 6*

1A – 8

Extending Early Voting

(15) Each election authority must provide the SBE, at least five days prior to Early Voting, with a list of their early voting locations and hours. The SBE shall publish this information on its website.

Processing of Centrally Located Ballots

(16) After Election Day, each election authority must disclose the number of uncounted ballots. This information must be reported to the State Board and the Board must post each jurisdiction's numbers on its website. *Page 11 (also see 1 – 9.2 new, page 3)*

1A – 16

Voter Registration

When submitting the voter registration application by mail, and the voter does not provide the necessary identification, the voter MUST vote the first time at a voting place. (Currently the voter is given the option to vote in person or by mail.) *Page 13*

1A – 16.5

On line Voter Registration

The SBE shall develop an online voter registration system able to be accessed by mobile electronic operating systems by January 1, 2016. *Page 24*

Requires each “state department” (as defined in Section 5-15 of the Civil Administrative Code of Illinois) that maintains an internet website, to include a link to the homepage website of the statewide online voter registration system. *Page 25*

1A – 16.6 new

Government Agency Voter Registration

Agency based voter registration shall be available by April 1, 2016. The SBE shall establish a portal that allows a voter to electronically apply to register to vote or to update their registration whenever he or she conducts business, either online or in person, with a designated government agency. *Page 25*

Each designated government agency shall establish and operate a voter registration system capable of transmitting voter registration application information to the portal by July 1, 2016. *Page 27*

The SBE shall submit a report to the General Assembly and the Governor by December 1, 2015 detailing the progress made to implement this portal. *Page 28*

The SBE shall adopt Rules in consultation with the impacted agencies. *Page 28*

For purposes of the Section, a “designated government agency” means: The Secretary of State’s Driver Services Department, the Department of Human Services, the Department of Healthcare and Family Services, the Department of Employment Security and the Department of Aging. *Page 28*

1A – 16.8 new

National Change of Address

Automatic transfer of registration based upon information from the National Change of Address database. *Page 28*

The SBE shall cross reference this database twice each year; April 15 and October 1 in odd-numbered years and April 15 and December 1 in even-numbered years.

Under ERIC, this should automatically happen monthly

All information will be shared with the local election authorities. The EA’s shall use the address change information to automatically update an individual’s registration anywhere in the state.

The voter is given an opportunity to reject the change in registration through a mailing, sent by non-forwardable mail, to the new registration address. *Page 29*

If on Election Day, the voter shows up at his prior polling location and attests that this is indeed his true address, and if confirmed by the EA as having been registered at this prior address and canceled only by the process authorized by this Section, the voter shall be issued a regular ball and the change of registration shall be canceled.

If the EA is unable to immediately confirm the registration, the voter shall be issued a provisional ballot and the **provisional ballot shall be counted.** *Page 30*

1A – 25

Voter Registration

Viewing of the voter registration database in the SBE’s Springfield office, may be altered if required by a multi-state voter registration list maintenance system agreement. *Page 32*

The mailing to unregistered voters shall be prepared and disseminated at the direction of the SBE. All other communications with potential registrants or re-registrants shall be prepared and disseminated by the local election authorities. *Page 35*

The SBE shall adopt Rules. *Page 35*

Extend Grace Period Registration through Election Day. Election Authorities must provide registration in their office, at a permanent polling place established under section 19A-10, at any other early voting site beginning 15 days prior to the election, at any polling place on Election Day and may also establish separate locations specifically for this purpose. *Page 43*

In counties with a population of less than 100,000 that do not have electronic poll books, the EA may opt out of registration at the polling place if the EA established grace period registration and voting at: 1) the EA's main office and 2) a polling place in each municipality where 20% of the county's residents reside if the EA's main office is not located in that municipality. *Page 46*

Extend Grace Period Registration through Election Day. Election Authorities must provide registration in their office, at a permanent polling place established under section 19A-10, at any other early voting site beginning 15 days prior to the election, at any polling place on Election Day and may also establish separate locations specifically for this purpose. *Page 55*

In counties with a population of less than 100,000 that do not have electronic poll books, the EA may opt out of registration at the polling place if the EA established grace period registration and voting at: 1) the EA's main office and 2) a polling place in each municipality where 20% of the county's residents reside if the EA's main office is not located in that municipality. *Page 57*

Extend Grace Period Registration through Election Day. Election Authorities must provide registration in their office, at a permanent polling place established under section 19A-10, at any other early voting site beginning 15 days prior to the election, at any polling place on Election Day and may also establish separate locations specifically for this purpose. *Page 64*

In counties with a population of less than 100,000 that do not have electronic poll books, the EA may opt out of registration at the polling place if the EA established grace period registration and voting at: 1) the EA's main office and 2) a polling place in each municipality where 20% of the county's residents reside if the EA's main office is not located in that municipality. *Page 66*

10 – 7

Petitions

Adds nonpartisan and independent candidates to those who may withdraw their name from nomination (request in writing). *Page 77*

10 – 9

Electoral Boards

Clarify the SBE's jurisdiction over petitions for congressional and General Assembly offices. The State Officers Electoral Board is the Electoral Board for these offices except those wholly within Cook County. *Page 80*

13-1.1

Election Judges

Clarifies the rights of township and ward committeeperson to submit names of election judges; a role currently filled by the county committee chairperson. *Page 93*

The chairman of the county central committee, or each township committeeperson in a county with a population of more than 3,000,000, shall submit to the county board a supplemental list...of persons available as judges of election.

13 – 2

Election Judges

In counties having a population of 3,000,000 or over, the selection of judges of election ...each township committeeperson shall assume the responsibilities given to the chairman of the county central committee in this Section for the precincts within his or her township... *Page 98*

14 – 3.1

Election Judges

"...certified lists furnished by the chairman of the respective county central committees, or each ward committeeperson in a municipality of 500,000 or more..." *Page 104*

14 – 3.2

Election Judges

"...or each ward committeeperson in a municipality of 500,000 or more inhabitants...shall furnish to the board of election commissioners a supplemental list..." *Page 105*

17 – 8

I Vote Stickers

If an election authority provides stickers or emblems, no person who has voted shall be denied such a sticker or emblem *Page 112*

17 – 29

Campaign Free Zone

Requires election authorities to disclose polling places that designate their entire property as campaign free zones. (Currently only applies to churches and private schools.)

If the EA maintains a website, no later than 5 days before Election Day, each EA shall post on its website the name and address of every polling place designated as a campaign free zone. This information shall be available to any citizen without a freedom of information request. *Page 133*

18A *Provisional Voting*

18A – 5

A person is entitled to cast a provisional ballot if:

(1) the person’s name does not appear on the official list of eligible voters for the precinct
“and the person has refused an opportunity to register at the polling location or another
grace period site”

(7) the voter attempted to register to vote on Election Day but failed to provide the necessary
documentation” *Page 142*

18A – 15

Removes language granting the SBE Rule making ability. *Page 148*

Under subsection 18A-5 (7) the voter has 7 days to provide the local election authority with
documentation for the ballot to be counted. *Page 150*

18A – 218.10 new *Definitions relating to Provisional Ballots Page 155*

18A – 218.20 new *Counting procedures for Provisional Ballots cast in an incorrect
precinct within the same election authority’s jurisdiction Page 160*

18A – 218.30 new *Counting procedures for Provisional Ballots case in an incorrect
precinct within a different election authority’s jurisdictions Page 165*

18A – 218.40 new *Follow-up procedures for Provisional Ballots. Page 170*

Article 19

Eliminate the term “absentee voting.” In-person voting prior to Election Day will be referred to
as early voting, with the exception of grace period registration and voting. Mailed ballots will be
called, “vote by mail.”

19 – 4 *Voting by Mail*

Upon receipt of a vote by mail application, not more than 90, nor less than 1 day before the
election, the EA shall within 1 business day post the name, address, ward and precinct number of
the applicant. Within one day after posting, the EA shall transmit that name and other posted
information to the SBE. *Page 173*

Election Authorities must print the amount of postage required on the vote by mail return
envelope. *Page 175*

19 – 6

Clarifies that ballots can be delivered by any person authorized by the voter. *Page 181*

An authorization shall be printed on the exterior envelope. The voter must sign the affidavit
affirming that authorization was given to deliver the ballot. *Page 182*

19 – 8

Permits EA's to **process** ballots 15 days prior to Election Day; provided **counting** shall not occur until after 7:00 pm on Election night. *Page 185*

Ballots must be postmarked no later than Election Day (rather than the day before). *Page 187*

19A – 10

Permanent Places for Early Voting

Each EA in a county with a population of over 100,000 but under 250,000 shall establish at least one permanent polling place for early voting.

No two permanent polling places, required by this section, shall be located within 1.5 miles of each other unless such permanent polling place is within a municipality with a population of 500,000 or more. *Page 206*

19A – 15

Hours for Early Voting

Early Voting shall begin 40 days before the Election and run through the end of the day before the Election. *Page 206*

Permanent early voting sites must be open beginning the 15th day before an Election and run through the end of the day before the Election.

EXCEPT beginning the 8th day before an Election, the hours for early voting must be:

- 8:30 am to 7:00 pm or 9:00 am to 7:00 pm; and
- 9:00 am to 12:00 pm on Saturdays and holidays and
- 10:00 am to 4:00 pm on Sundays

(and for those EA's falling under population guidelines in 19A-10, you must remain open for a total of at least 8 hours on any holiday during the early voting period and a total of at least 14 hours on the final weekend). *Page 207*

19A – 25

Schedule for Early Voting

Each EA shall post a schedule not later than 10 days before the first day of early voting (currently 5 days before the start of early voting). *Page 209*

At least 10 days prior to the start of early voting, each EA shall provide the SBE with a list of all their early voting sites and hours that each site will be open. *Page 210*

This language clarifies that EA's may add additional locations and hours prior to the election, but they may not eliminate a location except for good cause. These new locations must be reported to the SBE and must be posted on the EA's website. *Page 210*

20 – 8

Time and Place of Counting Ballots

Permits EA's to **process** ballots 15 days prior to Election Day; provided **counting** shall not occur until after 7:00 pm on Election night. *Page 231*

Ballots must be postmarked no later than Election Day (rather than the day before). *Page 187*

28 – 9 *Petitions for Proposed Amendments*
Eliminates the requirement that statewide advisory referendum petitions must be segregated by
election jurisdiction *Page 300*
This will require follow-up legislation in the Spring.

19 – 2.1 *In person absentee voting in the office of the municipal, township
or road district clerks*
REPEALED

19 – 2.2 *Campaign or proposition advertising*
REPEALED

28-10 *Examination of Petition*
REPEALED

15 ILCS 335/11 *The Illinois Identification Card Act*
The Secretary of State may release personally identifying information or highly restricted
personal information to the SBE as may be required by an agreement that the SBE has entered
into with a multi-state voter registration list maintenance system. *Page 305*

20 ILCS 105/4.02 *The Illinois Act on the Aging*
The Director of the Department on Aging shall make information available to the SBE as may be
required by an agreement that the SBE has entered into with a multi-state voter registration list
maintenance system. *Page 323*

65 ILCS 20/21-28 *Nomination by Petition*
Chicago alderman nomination petitions will require 473 signatures. Currently, language would
have reset the signature requirement after each census. *Page 323*

305 ILCS 5/1-12 new *The Illinois Public Aid Code*
The Secretary of the Department of Human Services and the Director of the Department of
Healthcare and Family Services shall make information available, except where prohibited by
federal law, to the SBE as may be required by an agreement that the SBE has entered into with a
multi-state voter registration list maintenance system. *Page 324*

320 ILCS 25/8a *The Senior Citizens and Disabled Person Property Tax Relief Act*
The Director of the Department on Aging shall make information available to the SBE as may be
required by an agreement that the SBE has entered into with a multi-state voter registration list
maintenance system. *Page 326*

The Director shall make information available to the SBE as may be required by an agreement that the SBE has entered into with a multi-state voter registration list maintenance system.

Page 334

For attorneys attending the conference, many states offered CLE credit for this conference in recent years.

2014 ANNUAL COGEL CONFERENCE | SESSION SCHEDULE

SUNDAY

9:30 am - 11:00 am	Technology Update & Roundtable
1:30 pm - 3:00 pm	Local Agency Roundtable
1:30 pm - 3:00 pm	Navigating Change, Change, Transitions, New Laws & Mandates
1:30 pm - 3:00 pm	Kay Williams First Timers Session & Conference Preview
3:15 pm - 4:45 pm	Speed Mentoring Roundtables
5:30 pm - 7:00 pm	Welcome Reception; COGEL Outstanding Service Award

MONDAY

7:30 am - 8:30 am	Breakfast - Table Topics
8:45 am - 10:15 am	Plenary Session
10:25 am - 11:55 am	Ethics Update
10:25 am - 11:55 am	Drones, Phones and Automobiles: FOIA Challenges with Modern Data Collection
10:25 am - 11:55 am	Innovations in Canadian & U.S. Voters' List Management Systems
10:25 am - 11:55 am	The Age of Millennials - Tips on How to Hire, Engage, Evaluate, & Retain Millennials
10:25 am - 11:55 am	Super PACs : The Legal, Political and Policy Implications of Deregulating Independent Spending
12:00 pm - 1:30 pm	Plenary Session: Dave Davies COGEL Conversation; 2014 COGEL Award Presentation
1:45 pm - 3:15 pm	How to Effectively & Efficiently Respond to FOIA Requests
1:45 pm - 3:15 pm	Campaign Finance I - Litigation
1:45 pm - 3:15 pm	Branding – It's Not Just for Cattle
1:45 pm - 3:15 pm	Lobbying in a Digital Age
1:45 pm - 3:15 pm	Individual Annual Disclosure: Baring It All?
3:30 pm - 4:45 pm	Are You Ready? Preparing for Emergencies
3:30 pm - 4:45 pm	Tired of Leaping Tall Buildings: Reducing Stress & Improving Productivity
3:30 pm - 4:45 pm	Trust the Vote Project
3:30 pm - 4:45 pm	Public Corruption Investigations – The Cliff's Notes to Avoid Falling Off the Cliff

TUESDAY

7:30 - 8:45 am	Breakfast - Table Topics
9:00 am - 10:00 am	Plenary Session: Paul Liebman
10:15 am - 11:45 am	Campaign Finance II - Legislation & Regulation
10:15 am - 11:45 am	Elections Update - Legislation & Litigation
10:15 am - 11:45 am	Electronic Communication Makes Your Life Easier. Or Does It?
10:15 am - 11:45 am	Innovation by Design: Inspiring a Creative Organizational Culture
10:15 am - 11:45 am	What Can I Say? Disclosure Rules in Investigations & Enforcement Actions
1:45 pm - 3:15 pm	Lobbying Update - Legislation & Litigation
1:45 pm - 3:15 pm	You Need a Plan: Project Management Techniques to Tackle Agency Challenges
1:45 pm - 3:15 pm	Elements of a Successful Consent/Settlement Agreement
1:45 pm - 3:15 pm	Presenting with Panache
1:45 pm - 3:15 pm	Freedom of Information: Legislation & Litigation Update
3:30 pm - 4:30 pm	Plenary Session & Book Signing: Jeff Smith

WEDNESDAY

8:00 am - 9:00 am	Breakfast - Plenary Session; Annual COGEL Business Meeting
9:15 am - 10:30 am	Can Someone Please Turn on the Lights? Ensuring Transparency When Non-Profits Engage in Lobbying and Political Activities
9:15 am - 10:30 am	Sitting on a Data Mine: Managing, Accessing & Analyzing Information
9:15 am - 10:30 am	Freedom of Information Roundtable
9:15 am - 10:30 am	Voter Suppression & Voter Fraud. Real?
10:45 am - 12:00 pm	If You Faced a House of Cards, What Would You Do?
10:45 am - 12:00 pm	Free Travel: First Class Opportunity or Extra Baggage?
10:45 am - 12:00 pm	Tips & Tricks: Maximizing Your Effectiveness with Your Commissioners

Dynamic Plenary Speakers

The Program Committee is very excited to present thought leaders who will share unique perspectives and insights that will be the talk of the conference! Our COGEL Conversation features Dave Davies, the award-winning journalist who appears on NPR's Fresh Air. Jeff Smith, once a political rising star, now known as a "recovering politician" will share his "Twelve Step Program to Survive Crisis." Paul Liebman of the University of Texas at Austin will share meaningful ideas for building a culture of ethics.

What Attendees Have Said About Past Conferences

**STATE BOARD OF ELECTIONS
INTER-OFFICE MEMORANDUM**

From the desk of:

Michael W. Roate, Director of Administrative Services/CFO

TO: Exec. Director Rupert Borgsmiller & Members of the Board
SUBJECT: Meeting with Executive Ethics Commission – SBE Procurement
DATE: December 2, 2014

On November 21st, Rupert Borgsmiller, Steve Sandvoss, Jeremy Kirk and myself met with Executive Ethics Commission (EEC) management regarding the recent passage of HB5491 (procurement changes) and the resulting effects on SBE's procurement processes and procedures. Representing the EEC was Matt Brown, State Chief Procurement Officer (CPO), Margaret van Dijk, Senior Policy Advisor, and Matt Von Behren, SPO for assigned agencies (including the SBE).

According to the EEC, oversight over SBE procurement activities will begin once the HB5491 legislation becomes effective (January 1, 2015). The EEC did not provide any details or extent regarding which specific procurement processes and controls would change; however, they indicated that the scope and content of their oversight activities would be significantly based on the results of their review of SBE's current procurement processes and controls. Mr. Von Behren of the EEC will be contacting Jeremy Kirk before the end of the year to start the review, and subsequent development of their parameters for overseeing SBE activities. The EEC also acknowledged that they did not anticipate finding significant deficiencies in their SBE review, based on favorable findings in previous OAG and Federal Compliance Audit reports.

We will keep you updated as the EEC review commences, and oversight activities are developed.

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

AGENCY TOTALS MONTH ENDING: Nov. 30, 2014	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$4,662,400	\$1,679,293.87	\$0.00	\$2,983,106.13	36.02%
STATE PAID RETIREMENT	\$186,500	\$66,102.62	\$0.00	\$120,397.38	35.44%
RETIREMENT (inc. supplemental)	\$0	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$356,500	\$123,386.60	\$0.00	\$233,113.40	34.61%
CONTRACTUAL SERVICE	\$1,356,000	\$331,494.60	\$290,934.65	\$733,570.75	24.45%
TRAVEL	\$127,700	\$26,109.43	\$0.00	\$101,590.57	20.45%
PRINTING	\$28,200	\$1,316.10	\$0.00	\$26,883.90	4.67%
COMMODITIES	\$40,400	\$7,546.80	\$0.00	\$32,853.20	18.68%
EQUIPMENT	\$169,400	\$68,934.76	\$0.00	\$100,465.24	40.69%
TELECOMMUNICATIONS	\$144,900	\$19,417.27	\$0.00	\$125,482.73	13.40%
OPERATION OF AUTO EQUIPMENT	\$5,300	\$1,044.49	\$0.00	\$4,255.51	19.71%
ELECTION CODE BOOKS	\$17,500	\$0.00	\$0.00	\$17,500.00	0.00%
IVRS LUMP SUM - OPERATIONS	\$577,200	\$172,763.97	\$0.00	\$404,436.03	29.93%
INTEREST PAYMENTS	\$0	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (OPERATIONS)	\$7,672,000	\$2,497,410.51	\$290,934.65	\$4,883,654.84	32.55%
HAVA MAINTENANCE OF EFFORT	\$550,000	\$104,716.26	\$227,204.22	\$218,079.52	19.04%
CO CLERK & RECORDER STIPENDS (FUND 802)	\$799,500	\$0.00	\$0.00	\$799,500.00	0.00%
ELECTION JUDGE REIMBURSEMENT (FUND 802)	\$5,043,000	\$0.00	\$0.00	\$5,043,000.00	0.00%
ELECTION JUDGES/EARLY VOTING	\$0	\$0.00	\$0.00	\$0.00	0.00%
IVRS LUMP SUM - GRANTS	\$3,378,000	\$0.00	\$0.00	\$3,378,000.00	0.00%
REDISTRICTING	\$0	\$0.00	\$0.00	\$0.00	0.00%
ADDITIONAL STATE MATCH	\$0	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$0	\$0.00	\$0.00	\$0.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$0	\$0.00	\$0.00	\$0.00	0.00%
IDIS SYSTEM REPLACEMENT	\$0	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (GRANTS)	\$9,770,500.00	\$104,716.26	\$227,204.22	\$9,438,579.52	1.07%
TOTAL APPROPRIATION	\$17,442,500	\$2,602,126.77	\$518,138.87	\$14,322,234.36	14.92%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

BOARD MONTH ENDING: Nov. 30, 2014	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
CONTRACTUAL SERVICE				
1205 Freight Express & Drayage	\$3,000.00	\$249.28	\$2,750.72	8.31%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	\$3,600.00		\$3,600.00	0.00%
1239 Rental, NEC				
1243 Book Binding Services				
1266 Court Reporting & Filing Services	\$18,000.00	\$3,493.50	\$14,506.50	19.41%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$1,000.00		\$1,000.00	0.00%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00		\$100.00	0.00%
1277 Association Dues				
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$500.00		\$500.00	0.00%
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$1,000.00	\$226.71	\$773.29	22.67%
TRAVEL	\$21,000.00	\$4,187.23	\$16,812.77	19.94%
EQUIPMENT				
1510 Office Furniture & Equipment	\$700.00		\$700.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

BOARD GRAND TOTAL	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE
CONTRACTUAL SERVICE	\$27,200.00	\$3,969.49		\$23,230.51
TRAVEL	\$21,000.00	\$4,187.23		\$16,812.77
EQUIPMENT	\$700.00	\$0.00		\$700.00
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00
TOTAL	\$48,900.00	\$8,156.72	\$0.00	\$40,743.28

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

ADMINISTRATION MONTH ENDING: Nov. 30, 2014	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$863,100.00	\$291,571.00	\$571,529.00	33.78%
1129 State Paid Retirement	\$34,400.00	\$11,685.18	\$22,714.82	33.97%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$65,700.00	\$21,261.64	\$44,438.36	32.36%
CONTRACTUAL SERVICE				
1201 Petty Cash	\$400.00		\$400.00	0.00%
1205 Freight Express & Drayage	\$600.00		\$600.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$14,500.00	\$1,689.55	\$12,810.45	11.65%
1223 Repair/Maint. Real Property	\$2,000.00	\$506.00	\$1,494.00	25.30%
1229 Repair & Maintenance NEC				
1230 In-House Repair & Maintenance				
1231 Rental, Office Equipment	\$32,800.00	\$919.19	\$31,880.81	2.80%
1232 Rental, Motor Vehicles	\$4,600.00	\$1,800.00	\$2,800.00	39.13%
1233 Rental, Real Property	\$399,800.00	\$198,990.00	\$200,810.00	49.77%
1234 Rental, Machinery & Mechanical Eqmt				
1239 Rental, NEC	\$1,000.00		\$1,000.00	0.00%
1240 Statistical & Tabulation Services	\$22,500.00	\$5,383.60	\$17,116.40	23.93%
1245 Professional & Artistic				
1248 Building & Grounds Maintenance	\$1,000.00		\$1,000.00	0.00%
1251 Gas				
1252 Electricity				
1253 Water				
1255 Utilities, NEC				
1261 Postage	\$76,700.00	\$27,024.78	\$49,675.22	35.23%
1266 Court Reporting				
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00		\$800.00	0.00%
1275 Subscription & Information Services	\$3,000.00	\$1,043.00	\$1,957.00	34.77%
1276 Reg.Fees & Conf. Expenses (Employee)	\$400.00		\$400.00	0.00%
1277 Association Dues	\$2,200.00	\$600.00	\$1,600.00	27.27%
1279 Tuition - Employee				
1280 Copying, Photographic & Printer Services				
1281 Interviewee Expense - To Vendors				
1285 Taxes, Licenses & Fees	\$100.00		\$100.00	0.00%
1289 Contractual Services, NEC	\$11,500.00	\$3,717.72	\$7,782.28	32.33%
TRAVEL				
1291 In-State	\$10,400.00	\$2,039.41	\$8,360.59	19.61%
1292 Out-of-State	\$1,500.00		\$1,500.00	0.00%
1295 Mileage	\$700.00	\$683.20	\$16.80	97.60%
PRINTING	\$9,900.00		\$9,900.00	0.00%
COMMODITIES				
1304 Office/Library Supplies	\$14,900.00	\$3,693.46	\$11,206.54	24.79%
1306 Food for Human Consumption				
1335 Rock Salt				
1391 Household & Cleaning Supplies	\$300.00	\$68.67	\$231.33	22.89%
1394 Office/Library Equip., Not exc. \$100				
1395 Small Tools Not Exceeding \$100				
1397 Household Cleaning Equip. NEC \$100				
1398 Equipment, NEC	\$500.00	\$181.47	\$318.53	36.29%
1399 Commodities, NEC	\$700.00	\$35.92	\$664.08	5.13%
EQUIPMENT				
1510 Office Furniture & Equipment	\$5,000.00	\$259.99	\$4,740.01	5.20%
1599 Equipment NEC	\$1,000.00		\$1,000.00	0.00%
TELECOMMUNICATIONS				
1710 Repair/Maintenance Telecom				
1721 Rental, Telephone Serv. & Equip.	\$52,200.00	\$11,268.43	\$40,931.57	21.59%
1722 Rental, Data Comm. Serv. & Equip.	\$81,000.00	\$6,496.14	\$74,503.86	8.02%
1728 Videoconferencing	\$7,200.00	\$1,652.70	\$5,547.30	22.95%
1729 Rental, Other Comm. Serv. & Equip.	\$3,000.00		\$3,000.00	0.00%
1730 Parts & Supplies for Telephone	\$1,500.00		\$1,500.00	0.00%
1750 Telephone, Data, Radio & Other Equipment				
OPERATION OF AUTO EQUIPMENT				
1893 Repair & Maint., Auto. Equipment	\$2,100.00	\$37.99	\$2,062.01	1.81%
1894 Parts & Fittings, Auto Equipment	\$200.00	\$18.00	\$182.00	9.00%
1896 Gasoline, Oil & Antifreeze	\$3,000.00	\$988.50	\$2,011.50	32.95%
1899 Auto. Expenses, NEC				
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				
ADMINISTRATION GRAND TOTAL	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	% OF EXPENDITURE
PERSONAL SERVICE	\$863,100.00	\$291,571.00	\$571,529.00	33.78%
STATE PAID RETIREMENT	\$34,400.00	\$11,685.18	\$22,714.82	33.97%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$65,700.00	\$21,261.64	\$44,438.36	32.36%
CONTRACTUAL SERVICE	\$573,900.00	\$241,673.84	\$200,677.50	\$131,548.66
TRAVEL	\$12,600.00	\$2,722.61	\$9,877.39	21.61%
PRINTING	\$9,900.00	\$0.00	\$9,900.00	0.00%
COMMODITIES	\$16,400.00	\$3,979.52	\$12,420.48	24.27%
EQUIPMENT	\$6,000.00	\$259.99	\$5,740.01	4.33%
TELECOMMUNICATIONS	\$144,900.00	\$19,417.27	\$125,482.73	13.40%
OPERATION OF AUTO EQUIPMENT	\$5,300.00	\$1,044.49	\$4,255.51	19.71%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	0.00%
TOTAL	\$1,732,200.00	\$593,615.54	\$200,677.50	\$937,906.96
				34.27%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

ELECTIONS MONTH ENDING: Nov. 30, 2014	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$1,808,200.00	\$650,305.59	\$1,157,894.41	35.96%
1129 State Paid Retirement	\$72,400.00	\$25,986.22	\$46,413.78	35.89%
1161 Retirement			\$0.00	0.00%
1161-008 Compt. Supplemental Retirement			\$0.00	0.00%
1170 Social Security	\$138,400.00	\$47,716.07	\$90,683.93	34.48%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Contractual reimbursement To Employee	\$500.00	\$437.00	\$63.00	87.40%
1205 Freight Express & Drayage	\$2,700.00		\$2,700.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$200.00		\$200.00	0.00%
1224 Repair/Maint. Machinery & Mechanical Equip				
1231 Rental, Office Equipment				
1232 Rental, Motor Vehicles				
1237 Rental, Film & Audio/Visual Aids	\$100.00		\$100.00	0.00%
1239 Rental, NEC	\$200.00	\$100.00	\$100.00	50.00%
1242 Auditing & Management Services				
1245 Professional & Artistic Services, NEC	\$10,000.00		\$10,000.00	0.00%
1266 Court Reporting & Filing Services				
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$4,000.00		\$4,000.00	0.00%
1275 Subscription & Information Services	\$600.00		\$600.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)	\$4,200.00		\$4,200.00	0.00%
1277 Association Dues	\$1,400.00	\$60.00	\$1,340.00	4.29%
1279 Employee Tuition & Fees	\$1,300.00		\$1,300.00	0.00%
1280 Copying, Photographic & Printing Services	\$1,500.00		\$1,500.00	0.00%
1284 Software	\$100.00	\$49.95	\$50.05	49.95%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-state Employees				
1289 Contractual Services, NEC	\$100.00		\$100.00	0.00%
TRAVEL	\$61,900.00	\$14,553.97	\$47,346.03	23.51%
PRINTING	\$7,000.00	\$43.10	\$6,956.90	0.62%
EQUIPMENT				
1510 Office Furniture & Equipment	\$1,900.00	\$259.99	\$1,640.01	13.68%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				
HAVA - Maintenance of Effort	\$550,000.00	\$104,716.26	\$445,283.74	19.04%
ELECTION CODE BOOKS	\$17,500.00		\$17,500.00	0.00%
Redistricting				
Election Judge Reimbursements (Fund 802)	\$5,043,000.00		\$5,043,000.00	0.00%
Stipends (Fund 802)	\$799,500.00		\$799,500.00	0.00%
Early Voting Election Judges				
State HAVA Match				
Voting System Integrity Center				
Electronic Canvassing Implementation				
Electronic Canvassing Interest Payments				
IDIS Replacement System				

ELECTIONS GRAND TOTAL	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$1,808,200.00	\$650,305.59		\$1,157,894.41	35.96%
STATE PAID RETIREMENT	\$72,400.00	\$25,986.22		\$46,413.78	35.89%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$138,400.00	\$47,716.07		\$90,683.93	34.48%
CONTRACTUAL SERVICE	\$26,900.00	\$646.95		\$26,253.05	2.41%
TRAVEL	\$61,900.00	\$14,553.97		\$47,346.03	23.51%
PRINTING	\$7,000.00	\$43.10		\$6,956.90	0.62%
EQUIPMENT	\$1,900.00	\$259.99		\$1,640.01	13.68%
HAVA - Maintenance of Effort	\$550,000.00	\$104,716.26	\$227,204.22	\$218,079.52	19.04%
ELECTION CODE BOOKS	\$17,500.00	\$0.00		\$17,500.00	0.00%
Redistricting	\$0.00	\$0.00		\$0.00	0.00%
Election Judge Reimbursements (FUND 802)	\$5,043,000.00	\$0.00		\$5,043,000.00	0.00%
Stipends (FUND 802)	\$799,500.00	\$0.00		\$799,500.00	0.00%
Early Voting Election Judges	\$0.00	\$0.00		\$0.00	0.00%
State HAVA Match	\$0.00	\$0.00		\$0.00	0.00%
Voting System Integrity Center	\$0.00	\$0.00		\$0.00	0.00%
Electronic Canvassing Implementation	\$0.00	\$0.00		\$0.00	0.00%
Electronic Canvassing Interest Payments	\$0.00	\$0.00		\$0.00	0.00%
IDIS Replacement System	\$0.00	\$0.00		\$0.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$8,526,700.00	\$844,228.15	\$227,204.22	\$7,455,267.63	9.90%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

GENERAL COUNSEL MONTH ENDING: Nov. 30, 2014	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$344,700.00	\$137,108.38	\$207,591.62	39.78%
1129 State Paid Retirement	\$13,800.00	\$5,489.11	\$8,310.89	39.78%
1161 Retirement			\$0.00	0.00%
1170 Social Security	\$26,400.00	\$10,126.33	\$16,273.67	38.36%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Reimbursement to Employee	\$1,200.00	\$1,146.00	\$54.00	95.50%
1205 Freight Express & Drayage				
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	\$75,000.00	\$24,237.50	\$50,762.50	32.32%
1245 Professional & Artistic Services, NEC				
1266 Court Reporting & Filing Services	\$15,000.00	\$4,536.00	\$10,464.00	30.24%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,000.00		\$1,000.00	0.00%
1275 Subscription & Information Services	\$1,000.00	\$172.22	\$827.78	17.22%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,000.00	\$730.85	\$269.15	0.00%
1277 Association Dues	\$1,500.00		\$1,500.00	0.00%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services				
1284 Computer Software				
1289 Contractual Services, NEC	\$5,300.00	\$2,179.00	\$3,121.00	41.11%
TRAVEL	\$10,000.00	\$3,191.23	\$6,808.77	31.91%
EQUIPMENT				
1510 Office Furniture & Equipment	\$500.00		\$500.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

GENERAL COUNSEL GRAND TOTAL	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$344,700.00	\$137,108.38		\$207,591.62	39.78%
STATE PAID RETIREMENT	\$13,800.00	\$5,489.11		\$8,310.89	39.78%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$26,400.00	\$10,126.33		\$16,273.67	38.36%
CONTRACTUAL SERVICE	\$101,000.00	\$33,001.57	40,762.50	\$27,235.93	32.67%
TRAVEL	\$10,000.00	\$3,191.23		\$6,808.77	31.91%
EQUIPMENT	\$500.00	\$0.00		\$500.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$496,400.00	\$188,916.62	\$40,762.50	\$266,720.88	38.06%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

CAMPAIGN DISCLOSURE MONTH ENDING: Nov. 30, 2014	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$842,700.00	\$285,522.94	\$557,177.06	33.88%
1129 State Paid Retirement	\$33,700.00	\$11,421.87	\$22,278.13	33.89%
1161 Retirement			\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement			\$0.00	0.00%
1170 Social Security	\$64,500.00	\$20,856.92	\$43,643.08	32.34%
CONTRACTUAL SERVICE				
1202 Employee Reimbursement				
1205 Freight Express & Drayage	\$100.00		\$100.00	0.00%
1221 Repair & Maint, Furn & Office Equipment				
1225 Repair & Maint, EDP				
1229 Repair & Maint, NEC				
1232 Rental, Motor Vehicles				
1239 Rental, NEC				
1245 Professional & Artistic Services, NEC				
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services	\$400.00	\$392.50	\$7.50	98.13%
1274 Reg Fees & Conf. Expenses (Vendor)	\$0.00		\$0.00	#DIV/0!
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,100.00	\$1,100.00	\$0.00	100.00%
1277 Association Dues				
1279 Employee Tuition and Fees	\$500.00		\$500.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$200.00	\$120.00	\$80.00	60.00%
TRAVEL				
1291 In-State	\$7,400.00		\$7,400.00	0.00%
1292 Out-of-State	\$3,800.00		\$3,800.00	0.00%
1295 Mileage	\$100.00	\$31.47	\$68.53	31.47%
PRINTING	\$10,600.00	\$1,273.00	\$9,327.00	12.01%
EQUIPMENT				
1510 Office Furniture & Equipment	\$500.00		\$500.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

CAMPAIGN DISCLOSURE GRAND TOTAL	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$842,700.00	\$285,522.94		\$557,177.06	33.88%
STATE PAID RETIREMENT	\$33,700.00	\$11,421.87		\$22,278.13	33.89%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$64,500.00	\$20,856.92		\$43,643.08	32.34%
CONTRACTUAL SERVICE	\$2,300.00	\$1,612.50		\$687.50	70.11%
TRAVEL	\$11,300.00	\$31.47		\$11,268.53	0.28%
PRINTING	\$10,600.00	\$1,273.00		\$9,327.00	12.01%
EQUIPMENT	\$500.00	\$0.00		\$500.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$965,600.00	\$320,718.70	\$0.00	\$644,881.30	33.21%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

INFORMATION TECHNOLOGY MONTH ENDING: Nov. 30, 2014	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$803,700.00	\$314,785.96	\$488,914.04	39.17%
1129 State Paid Retirement	\$32,200.00	\$11,520.24	\$20,679.76	35.78%
1161 Retirement			\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement			\$0.00	0.00%
1170 Social Security	\$61,500.00	\$23,425.64	\$38,074.36	38.09%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1205 Freight Express & Drayage				
1221 Repair/Maint. Furn./Office Equipment	\$12,000.00		\$12,000.00	0.00%
1223 Repair/Maint. Real Property				
1225 Repair/Maint. EDP Equipment	\$120,400.00	\$20,743.13	\$99,656.87	17.23%
1230 In-House Repair of Equipment				
1234 Rental, Machinery and Mech. Equip				
1239 Rental, NEC	\$1,800.00	\$828.25	\$971.75	46.01%
1242 Auditing & Management Services				
1244 Legal Fees				
1245 Professional & Artistic Services, NEC	\$323,400.00		\$323,400.00	0.00%
1271 Surety Bond & Ins. Prem.	\$1,000.00	\$708.00	\$292.00	70.80%
1272 Travel & Expense Reimbursement (Vendor)				
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00		\$6,000.00	0.00%
1275 Subscription & Information Services	\$600.00		\$600.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$8,000.00		\$8,000.00	0.00%
1284 Computer Software	\$150,500.00	\$28,310.87	\$122,189.13	18.81%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$1,000.00		\$1,000.00	0.00%
TRAVEL				
1291 In-State	\$5,200.00	\$1,213.48	\$3,986.52	23.34%
1292 Out-of-State	\$5,400.00		\$5,400.00	0.00%
1295 Mileage	\$300.00	\$209.44	\$90.56	69.81%
PRINTING	\$700.00		\$700.00	0.00%
COMMODITIES				
1304 Office/Library Supplies	\$22,800.00	\$2,433.23	\$20,366.77	10.67%
1332 Industrial & Shop Materials				
1394 Office & Library Equipment Under \$100	\$800.00	\$791.00	\$9.00	98.88%
1395 Small Tools < \$100				
1398 Equipment, NEC	\$400.00	\$343.05	\$56.95	85.76%
1399 Commodities, NEC				
EQUIPMENT				
1510 Office Furniture & Equipment	\$400.00	\$337.99	\$62.01	84.50%
1515 EDP Equipment	\$159,400.00	\$68,076.79	\$91,323.21	42.71%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

INFORMATION TECHNOLOGY GRAND TOTAL	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$803,700.00	\$314,785.96		\$488,914.04	39.17%
STATE PAID RETIREMENT	\$32,200.00	\$11,520.24		\$20,679.76	35.78%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$61,500.00	\$23,425.64		\$38,074.36	38.09%
CONTRACTUAL SERVICE	\$624,700.00	\$50,590.25	\$49,494.65	\$524,615.10	8.10%
TRAVEL	\$10,900.00	\$1,422.92		\$9,477.08	13.05%
PRINTING	\$700.00	\$0.00		\$700.00	0.00%
COMMODITIES	\$24,000.00	\$3,567.28		\$20,432.72	14.86%
EQUIPMENT	\$159,800.00	\$68,414.78		\$91,385.22	42.81%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$1,717,500.00	\$473,727.07	\$49,494.65	\$1,194,278.28	27.58%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

IVRS LUMP SUM	YEAR TO DATE
<u>MONTH ENDING: Nov. 30, 2014</u>	<u>EXPENDITURE</u>
PERSONAL SERVICE	\$154,646.63
1129 State Paid Retirement	\$6,188.98
1161 Retirement	
1170 Social Security	\$11,221.38
CONTRACTUAL SERVICE	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1261 Postage	
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1284 Computer Software	
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
TRAVEL	\$706.98
PRINTING	
COMMODITIES	
1304 Office Supplies	
1398 Equipment Less than \$100	
EQUIPMENT	
1510 Office Furniture & Equipment	
LUMP SUMS & OTHER PURPOSES	
1991 Interest Payments	
LOCAL GRANTS	
4453 Reimbursement to Governmental Units	
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	

SUPP. APPROP. - IVRS

LUMP SUM - OPERATIONS APPROP FOR YEAR	\$577,200.00
TOTAL LUMP SUM - OPERATIONS EXPENDITURES	\$172,763.97
REMAINING LUMP SUM APPROPRIATION	\$404,436.03

LUMP SUM - GRANTS APPROP FOR YEAR	\$3,378,000.00
TOTAL LUMP SUM - GRANT EXPENDITURES	\$0.00
REMAINING LUMP SUM APPROPRIATION	\$3,378,000.00

LUMP SUM TOTAL APPROPRIATION	\$3,955,200.00
TOTAL LUMP SUM EXPENDITURES	\$172,763.97
REMAINING LUMP SUM APPROPRIATION	\$3,782,436.03

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 <u>Discretionary</u> <u>Funds</u>	SECTION 102 <u>Entitlement</u> <u>Payments</u>	SECTION 261 <u>EAID</u> <u>Disbursements</u>	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> <u>Requirements</u>	TOTAL <u>Fund Activity</u>
<u>MONTH ENDING: Nov. 30, 2014</u>						
CASH BALANCE FROM FY14	\$553,557.44	\$0.00	\$0.00	\$3,555,326.11	\$3,559,543.77	\$7,668,427.32
Program Revenues from Federal Govt			\$234,832.89			\$234,832.89
Miscellaneous Revenues						\$0.00
Interest Earned on IOC Balances	\$701.50			\$5,120.70	\$4,631.80	\$10,454.00
Interest Penalties Received						\$0.00
EAC-Mandated Transfers of Interest						\$0.00
State Match Receipts						\$0.00
TOTAL CASH REVENUES	\$701.50	\$0.00	\$234,832.89	\$5,120.70	\$4,631.80	\$245,286.89
YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
STATE-PAID RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$2,766.00	\$0.00	\$0.00	\$4,998.13	\$0.00	\$7,764.13
TRAVEL	\$8,308.85	\$0.00	\$0.00	\$0.00	\$0.00	\$8,308.85
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$166.27	\$0.00	\$0.00	\$0.00	\$0.00	\$166.27
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	\$11,241.12	\$0.00	\$0.00	\$4,998.13	\$0.00	\$16,239.25
AWARDS & GRANTS	\$0.00	\$0.00	\$311,999.02	\$0.00	\$1,388,779.10	\$1,700,778.12
TOTAL CASH EXPENDITURES	\$11,241.12	\$0.00	\$311,999.02	\$4,998.13	\$1,388,779.10	\$1,717,017.37
CASH BALANCE AT END OF MONTH	\$543,017.82	\$0.00	(\$77,166.13)	\$3,555,448.68	\$2,175,396.47	\$6,196,696.84

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 101 - DISCRETIONARY FUNDS
MONTH ENDING: Nov. 30, 2014

FY15
APPROPRIATION **YEAR TO DATE**
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1286 Travel, Reimb. to Non-State Employees
1289 Contractual Services, NEC

\$0.00

\$2,766.00

\$0.00

\$2,766.00

TRAVEL

1291 In-State & 1293 In-State (to vendor)
1292 Out-of-State
1295 Mileage

\$1,599.04

\$5,122.21

\$1,587.60

\$1,599.04

\$5,122.21

\$1,587.60

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

\$0.00

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

\$166.27

\$166.27

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

\$0.00

GRANTS

4453 Reimbursement to Governmental Units
4458 Services, NEC
4470 Grants to Local Governments (PPA)
4470 Grants to Local Governments (EAI)
4470 Grants to Local Governments (AAG)
4470 Grants to Local Governments
4479 Payments to Other State Agencies

SECTION 101 GRAND TOTAL

FY15
APPROPRIATION **YEAR TO DATE**
EXPENDITURE **OBLIGATED**
MONEY

PERSONAL SERVICE \$0.00 \$0.00
STATE PAID RETIREMENT \$0.00 \$0.00
RETIREMENT \$0.00 \$0.00
SOCIAL SECURITY \$0.00 \$0.00
GROUP INSURANCE \$0.00 \$0.00
CONTRACTUAL SERVICE \$2,766.00 \$2,766.00
TRAVEL \$8,308.85 \$8,308.85
PRINTING \$0.00 \$0.00
COMMODITIES \$0.00 \$0.00
EQUIPMENT \$0.00 \$0.00
TELECOMMUNICATIONS \$166.27 \$166.27
OPERATION OF AUTO EQUIPMENT \$0.00 \$0.00
INDIRECT COST REFUNDS \$0.00 \$0.00
GRANTS \$0.00 \$0.00

TOTAL **\$11,241.12** **\$11,241.12** **\$0.00**

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: Nov. 30, 2014

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

GRANTS

4453 Reimbursement to Governmental Units
4458 Services, NEC
4470 Grants to Local Governments, NEC

SECTION 102 GRAND TOTAL

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
GRANTS	\$0.00	\$0.00

TOTAL	\$0.00	\$0.00	\$0.00
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STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 261 - EAID DISBURSEMENTS
MONTH ENDING: Nov. 30, 2014

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not exc. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund \$0.00

GRANTS

4453 Reimbursement to Governmental Units
4470 Grants to Local Governments (VAID II)
4470 Grants to Local Governments (VAID III)
4470 Grants to Local Governments (VAID IV)
4470 Grants to Local Governments (VAID V) \$311,999.02 \$311,999.02

SECTION 261 GRAND TOTAL

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00
GRANTS	\$311,999.02	\$311,999.02

TOTAL	\$311,999.02	\$311,999.02	\$0.00
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STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - REQUIREMENTS MONTH ENDING: Nov. 30, 2014	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	
PERSONAL SERVICE			
1129 State Paid Retirement			
1161 Retirement			
1170 Social Security			
1180 Group Insurance			
CONTRACTUAL SERVICE			
1205 Freight Express/Drayage			
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.	\$0.00		
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services			
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC	\$2,900.70	\$2,900.70	
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)			
1276 Registration Fees & Conf. Exp. (Employee)			
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software	\$2,097.43	\$2,097.43	
1289 Contractual Services, NEC			
TRAVEL			
1291 In-State	\$0.00		
1292 Out-of-State			
PRINTING			
COMMODITIES			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip., Not Exceed. \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
EQUIPMENT			
1510 Office Furniture & Equipment			
1515 EDP Equipment	\$0.00		
1561 Training Equipment			
6625 Leasehold Improvements			
TELECOMMUNICATIONS			
1721 Rental, Telephone Serv. & Equip.			
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
OPERATION OF AUTO EQUIPMENT			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
INDIRECT COST REFUNDS			
1993 Indirect Cost Allocation Refund	\$0.00		
GRANTS			
4453 Reimbursement to Govt Units (AVE)			
4453 Reimbursement to Govt Units (Phase II)	\$0.00		
4453 Reimbursement to Govt Units (ALA)			
4453 Reimbursement to Govt Units (EDG)			
4470 Grants to Local Governments (VRS)			
4479 Payments to Other State Agencies			
SECTION 251 (OLD REQ) GRAND TOTAL	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$4,998.13	\$4,998.13	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	\$4,998.13	\$4,998.13	\$0.00

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS
MONTH ENDING: Nov. 30, 2014

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)
4453 Reimbursement to Govt Units (Phase II)
4453 Reimbursement to Govt Units (ALA)
4453 Reimbursement to Govt Units (EDG)
4470 Grants to Local Governments (Phase III)
4479 Payments to Other State Agencies

\$1,388,779.10

\$1,388,779.10

SECTION 251 (NEW REQ) GRAND TOTAL

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE

\$0.00

\$0.00

STATE PAID RETIREMENT

\$0.00

\$0.00

RETIREMENT

\$0.00

\$0.00

SOCIAL SECURITY

\$0.00

\$0.00

GROUP INSURANCE

\$0.00

\$0.00

CONTRACTUAL SERVICE

\$0.00

\$0.00

TRAVEL

\$0.00

\$0.00

PRINTING

\$0.00

\$0.00

COMMODITIES

\$0.00

\$0.00

EQUIPMENT

\$0.00

\$0.00

TELECOMMUNICATIONS

\$0.00

\$0.00

OPERATION OF AUTO EQUIPMENT

\$0.00

\$0.00

INDIRECT COST REFUNDS

\$0.00

\$0.00

GRANTS

\$1,388,779.10

\$1,388,779.10

TOTAL

\$1,388,779.10

\$1,388,779.10

\$0.00

Start Date	End Date	Activity	Division
11/18/2014		Last day for local election authorities to count absentee, military & overseas ballots which were postmarked by midnight Nov 4, 2014. 10 ILCS 5/19-8(c) & 20-8(c)	LEG
11/19/2014		Begin canvass as soon as abstracts are received from county clerks. (Complete by December 5, 2014) 10 ILCS 5/22-1	EL INFO
11/25/2014		Link report due form LEO's to the SBE. 10 ILCS 5/19-20, 20-20.	LEG
11/25/2014		Send notification reminder to election officials who failed to submit their computer operator's log or analogous information. 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations)	VRS
11/26/2014		Civic Organization certification cutoff date prior to the Feb 24, 2015 Consolidated Primary(not less than 90 days before next election). 10 ILCS 5/1A-8 (1, 2, 12) (Board Rule 207.50)	ET&RD
11/28/2014		The last day that the State Board of Elections shall notify political committees that have filed a Statement of Organization of their obligation to file the 2014 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-15(9).	CAMP DISC
12/1 /2014		Send letters to county clerks to obtain information required for the 2015 COUNTY OFFICERS publication. Not all the information may be available in time to meet our schedule. Our goal is to have the publication complete by 2/17/2015. 10 ILCS 5/1A-8 (1, 2)	ET&RD
12/1 /2014		Begin preparing 2015 FEDERAL AND STATE OFFICERS booklet. General Assembly member room assignments and telephone number information may be not be available in time to meet our schedule. Our goal is to have the publication available no later than 3/30/2015. 10 ILCS 5/1A-8 (1, 2, 11)	ET&RD
12/1 /2014		Notify election authorities of requirement to submit computerized voter registration information for December 15, 2014 submission in a format prescribed by the SBE	VRS

Start Date	End Date	Activity	Division
12/1 /2014		SURVEY ELECTION AUTHORITIES to report the number of election judges who have tested for each election. SECTION 8. PERFORMANCE GOALS AND MEASURES, HAVA STATE PLAN.	EXEC DIR
12/2 /2014	12/4 /2014	(dates subject to change) Veto Session	LEG
12/2 /2014		The Board will refer to the Attorney General of Illinois for compliance enforcement each jurisdiction whose computerized voter registration submission has not been received for the General Election 2014 submission	VRS
12/3 /2014		Objection deadline filing for candidates who filed November 17 - 24, 2014. 10 ILCS 5/10-8	EL INFO
12/5 /2014		Complete proclamation for each office elected at the General Election for the Governor's office. Generate material for the "Official Vote" publication and submit to ET&RD division.	EL INFO
12/5 /2014		BOARD MEETING (Proclamation). 10 ILCS 5/22-7	EXEC DIR
12/5 /2014		Post Election Day Survey due from the LEO's to the SBE.	LEG
12/5 /2014		Complete CANVASS of November General Election. 10 ILCS 5/22-7	EL INFO
12/12/2014		Present to the Board a list of legislative proposals for introduction in the next session.	LEG
12/15/2014		First day for election authority to submit computerized voter registration file for the December 15, 2014 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
12/15/2014	12/22/2014	Filing period for municipal candidates, educational service region trustees, schools, colleges, parks, library, fire and the Fox Waterway Agency trustees. 10 ILCS 5/10-6	EL INFO
12/16/2014		Complete analysis of Referenda Profile for the General Election. 10 ILCS 5/1A-8 (1, 2, 12)	VRS
12/25/2014		Last day for election authority to submit computerized voter registration file for the December 15, 2014 submission. 10 ILCS 5/4-8,5-7,6-36	VRS

Start Date	End Date	Activity	Division
12/25/2014		Last day for election authorities to submit request for extension to file computerized voter registration information for the December 15, 2014 submission. Rules and Regulations	VRS
12/26/2014		Send notice (form) to election authorities to obtain voting system information to be used for the Consolidated Primary Election. (Rules and Regulations)	VRS
12/26/2014		Send notice (form) to election authorities in order to obtain early voting system information to be used for the Consolidated Primary Election.	VRS
12/26/2014		Send notice to election authorities to obtain information on which jurisdictions will be having a Consolidated Primary 10 ILCS 5/1A-8 (1,2,12)	VRS
12/26/2014		Notify election authorities to send referenda information. 10 ILCS 5/1A-8 (1, 2, 12)	VRS
12/30/2014		Objection deadline filing for candidates who filed December 15 - 22, 2014. 10 ILCS 5/10-8	EL INFO
1 /1 /2015	12/31/2015	Year round reporting of any contribution of \$1000 or more received by a political committee, must be reported within five business days following its receipt unless committee is participating in an election and the contribution is received within 30 days prior to that election. 10 ILCS 5/9(c).	CAMP DISC
1 /2 /2015		Begin preparing the CANDIDATE'S GUIDE for candidates running for office in 2016. Submit to legal for review by 5/15/2015. 10 ILCS 5/1A-8 (1, 2, 4, 7, 11)	ET&RD
1 /2 /2015		First day that any political committee shall file its 2014 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
1 /5 /2015		Begin work on signature requirements for the 2016 General Primary Election.	EL INFO
1 /9 /2015		Each election authority shall send to the SBE written notice on a form prescribed and supplied by the Board of the type of voting system it will use for the Consolidated Primary Election. (Rules and Regulations)	VRS

Start Date	End Date	Activity	Division
1 /9 /2015		Send an updated listing of certified civic organizations to all election authorities. 10 ILCS 1A-8 (1,2,12) SBE Rule 207.50	ET&RD
1 /9 /2015		Each election authority shall send to the SBE written notice on a form prescribed and supplied by the Board the serial numbers for the early voting systems it will use for the Consolidated Primary Election.	VRS
1 /9 /2015		Finalize 2015 SBE Legislative Program for the 99th General Assembly. Process involves bill drafting, obtaining sponsorship, bill introduction, monitoring. 10 ILCS 5/1A-8 (8)	LEG
1 /10/2015		Last day for the election authority to have in his office a sufficient number of ballots printed and available for mailing to persons in the United States Service or their spouse and dependents, citizens temporarily residing outside the territorial limits of the United States and nonresident civilians. 10 ILCS 5/7-16, 16-5.01	LEG
1 /14/2015		(2nd Wednesday) General Assembly swearing-in ceremony. IL CONST, Art. 4, Sec. 5	LEG
1 /15/2015		Last day that a political committee shall file its 2014 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
1 /17/2015		Last day for SBE to certify to the election authorities each unit school district, the counties contained therein and the Regional Superintendent having supervision and control over each district. 105 ILCS 5/6-5	EL INFO
1 /20/2015		Notify election authorities of their need to give public test notification and re-tabulation notification for Consolidated Primary.	VRS
1 /20/2015		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
1 /22/2015		Notify election authorities of requirement to submit computerized voter registration information for the Consolidated Primary 2015 submission in a format prescribed by the SBE	VRS
1 /22/2015		Remind the Driver and Motor Vehicle Services of the registration cut off of 27 days before an election.	LEG

Start Date	End Date	Activity	Division
1 /23/2015		Begin testing of automatic tabulating equipment. 10 ILCS 5/24B-9, 24C-9	VRS
1 /23/2015		Send notification to election authorities re: Voting Equipment Test. 10 ILCS 5/24B-9, 24C-9	VRS
1 /24/2015		Send notice to election authorities who failed to submit a computerized voter registration file, for the December 15, 2014 submission. Rules and Regulations	VRS
1 /24/2015		Send to each election authority a current computerized voter registration error report, from the December 15, 2014 submission	VRS
1 /25/2015		First day of period during which any receipt of a contribution of \$1000 or more by a political committee participating in the consolidated primary election, must be reported within two business days following its receipt. 10 ILCS 5/9-10(c)	CAMP DISC
1 /25/2015		First day of period during which independent expenditures of \$1000 or more made by a political committee must be reported electronically within five business days after making the independent expenditure. 10 ILCS 5/9-10(e).	CAMP DISC
1 /27/2015		Last day for voter registration or transfer of registration prior to the Consolidated Primary. 10 ILCS 5/4-6, 4-16, 5-5, 5-23, 6-29	EL INFO
1 /27/2015		First day for election authority to submit computerized voter registration file for the Consolidated Primary 2015 submission. 10 ILCS 5/4-8, 5-7, 6-36	VRS
1 /28/2015		(4th Wednesday in January) Governor's State of the State message. Const. Art. 5, Sec. 13	LEG
1 /28/2015		First day for grace period registration, change of address and voting begins. 10 ILCS 5/4-50, 5-50, 6-100	EL INFO
1 /29/2015		Election authorities must submit to SBE a copy of the specimen ballot that is to be tested for the Consolidated Primary. 10 ILCS 5/24B-9	VRS
1 /29/2015		Notify election authorities to forward a copy of their computer operator's log or analogous information following the proclamation of election results. 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations)	VRS

Start Date	End Date	Activity	Division
1 /29/2015		Certification date for April election. SBE to certify candidates for Fox Waterway Agency and multi-county school trustees. 10 ILCS 5/7-60.1	EL INFO
1 /30/2015		Date upon which the State Board of Election shall notify political committees that have failed to file the 2014 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.	CAMP DISC
2 /2 /2015		Begin preparation of packet materials for 2015 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed March 1, 2013. 10 ILCS 5/9-15.	CAMP DISC
2 /3 /2015		Complete profile of pre-election Referenda. 10 ILCS 5/1A-8 (1, 2, 12)	VRS
2 /3 /2015		Send letter to those jurisdictions where in-precinct counting equipment is utilized requesting a list of those precincts involved in the election. 10 ILCS 5/24B-15, 24C-15	VRS
2 /5 /2015		Notify election authorities to send referenda information for the Consolidated Election. 10 ILCS 5/1A-8 (1, 2, 12)	VRS
2 /6 /2015		Send notice (form) to election authorities to obtain voting system information to be used for the Consolidated Election. (Rules and Regulations)	VRS
2 /6 /2015		Last day for election authority to submit computerized voter registration file for the Consolidated Primary 2015 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
2 /6 /2015		Last day for election authorities to submit request for extension to file computerized voter registration information for the Consolidated Primary 2015 submission. Rules and Regulations	VRS
2 /6 /2015		Send notice (form) to election authorities in order to obtain early voting system information to be used for the Consolidated Election.	VRS
2 /8 /2015		The Board will refer to the Attorney General of Illinois for compliance enforcement each jurisdiction whose computerized voter registration submission has not been received for the December 15, 2014 submission	VRS

7. **Comments from the general public.**
8. **Next regular Board meeting at 10:30 a.m. on Tuesday, January 20, 2015, in Springfield.**
9. **Executive session.**